

Office of Entrepreneurship and Small Business Innovation

Statutorily Required Annual Reports

FY 2022-2023



Office of Entrepreneurship and Innovation

Annual Report for Fiscal Year 2023 July 1, 2022 to June 30, 2023

Submitted to:

Governor Andy Beshear and the Legislative Research Commission, pursuant to KRS 154.12-278.

Submitted by:

The Office of Entrepreneurship and Innovation Kentucky Cabinet for Economic Development

Office of Entrepreneurship and Innovation

Pursuant to KRS 154-12-277, the Office of Entrepreneurship and Innovation (KY Innovation) within the Kentucky Cabinet for Economic Development (Cabinet) is responsible for various forms of entrepreneurship and innovation assistance, including but not limited to (a) providing customer service and project management with small and minority businesses (b) assisting export development (c) administering the innovation assistance set forth in KRS 154.12-278 (d) introducing entrepreneurs to individual investors and to investment capital firms interested in start-up and early-stage financing, and (e) and collecting, summarizing, and disseminating information helpful to small businesses, including information on market research, federal, state, and local minority business programs, government procurement opportunities, and the availability of managerial assistance.

Overview

The Office of Entrepreneurship and Innovation carries out the broad responsibilities of managing and administering the state's comprehensive initiatives for entrepreneurs, innovative ventures, and associated research and development under the umbrella of KY Innovation within the Cabinet for Economic Development. KY Innovation and the Cabinet have focused on developing the pipeline of high-tech innovations, growth oriented businesses, and research-backed companies in Kentucky. Rapid advances in technology continue to accelerate transformations and create new opportunities in traditional business sectors. A diverse, vibrant innovation and entrepreneurship community is essential to adapting and driving advances in existing and emerging industries, as well as to supporting meaningful economic development within the Commonwealth.

In support of these efforts, over the previous two years, KY Innovation has refined the state's entrepreneurial agenda to efficiently help Kentucky business to launch and thrive wherever they are. There are several accomplishments to note. Over the past fiscal year, KY Innovation has:

- Announced KSBCI 2.0 lending and capital programs in partnership with the U.S. Department of Treasury, representing \$117 million available for loans and equity investments over the next 10 years.
- Partnered with independent innovation organizations, higher education and industry to support multiple competitive federal grant
 applications for innovation, R&D and commercialization.
- Continued to build stronger marketing platforms to help entrepreneurs to quickly access the information they need and promote
 available resources to new audiences.
- Continued to emphasize and increase outreach in support of underserved and diverse populations across Kentucky.
- Secured an award of \$400 thousand from the U.S. Small Business Administration to extend the State Trade Expansion Program into Year 10, a grant program to uplift and educate Kentucky's small businesses to export their products worldwide.
- Funded and collaborated in the launch of the Metals Innovation Initiative (MI2), which assembled most of Kentucky's leading primary
 and fabricated metals manufacturers to solve emerging problems and drive collective industry innovation with Kentucky as a hub for
 the sector.
- Continued coordination with the Commission on Small Business Innovation and Advocacy to review new legislation impacting Kentucky businesses and develop a comprehensive resource of statewide programs that can support small businesses.
- Served as a key resource in the essential economic recovery efforts related to the COVID-19 pandemic, 2021 tornado disaster in
 Western Kentucky, and 2022 flood disaster in Eastern Kentucky.

To date, KY Innovation's programs have helped over 3,000 entrepreneurs and assisted those clients with raising over \$1.5 billion in funding. These companies have used the office's resources to generate over \$5.44 billion in revenue and create over 12,000 jobs. Continuing our support of these startups and small businesses is critical to our economic recovery, as small businesses employ more than 40% of all employees in Kentucky. KY Innovation is proud that our economy continues to win national recognition for the opportunities it creates for Kentuckians to become business owners and hire within their communities. KY Innovation remains passionately committed to the success of entrepreneurship and small business growth in the Commonwealth. On behalf of our communities, KY Innovation expresses its sincerest gratitude to Governor Andy Beshear and the Kentucky Legislature for their continued support.

The following report summarizes the activities of KY Innovation from July 1, 2022, through June 30, 2023.

Entrepreneurship and Startup Support

KY Innovation Hubs

KY Innovation's six regional Innovation Hubs are the handson catalysts for the expansive entrepreneurial and startup growth the Commonwealth seeks to cultivate. Each Hub, selected through a competitive RFP process, leverages local partnerships and works with other organizations, including universities, colleges, and economic development groups to assist entrepreneurs in many different industries and different stages of development. Partners include successful entrepreneurs, angel investors and venture capitalists, private sector companies, non-profits, local governments, and other organizations with a role to play in fostering dynamic, resilient regional economies, job growth, and globally competitive communities. Entrepreneurial ecosystems that cluster and integrate regional assets and expertise within a larger statewide collaboration are proven to maximize each area's advantages and tailor programming to their regional needs, while also invigorating the state's overall entrepreneurial ecosystem.

The KY Innovation Hubs throughout the Commonwealth represent all 120 counties. During the reporting period, there were six (6) regional Hubs: Eastern Kentucky/Appalachia (Pikeville), Greater Lexington, Greater Louisville, Northern Kentucky (Covington), Southwest Kentucky (Bowling Green), and Western Kentucky (Paducah). Regions with large geographic footprints typically have multiple offices, partners or traveling resources throughout the region. KY Innovation Hubs and their respective contractual and collaborative partners strive to increase the likelihood that high-growth, knowledge-based businesses can be launched in Kentucky and obtain both public and private investments to scale.

The Hubs served as a primary lifeline, virtual convener, and service provider for entrepreneurs throughout the global COVID-19 pandemic, showcasing their adaptability and proactive, responsive nature. The Hubs tracked several metrics, which accurately assess the health and progress of the ecosystem. They were able to both collectively and individually surpass most of their annual targets, including the number of startups served and the amount of capital attracted (See metrics on p.4). KY Innovation strives to ensure equitable resources are available for all markets, so Kentucky businesses can be launched and thrive where they are.

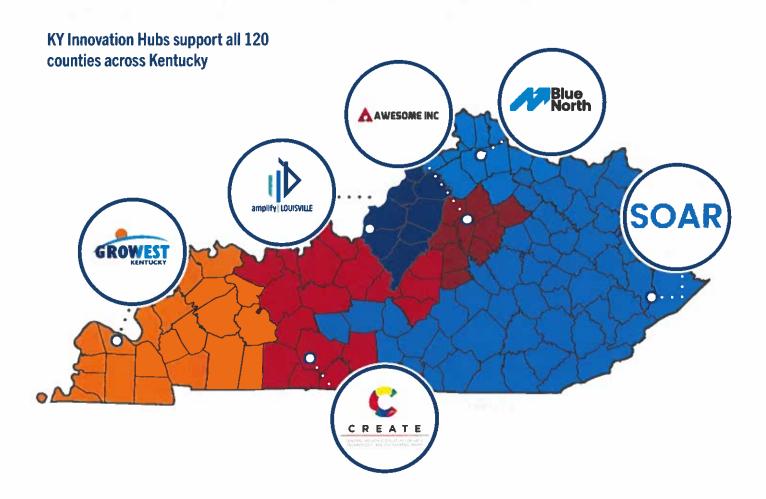




KY Innovation Hubs are managed in partnership with the Kentucky Science and Technology Corporation (KSTC), a science and technology nonprofit with over 30 years of experience leading STEM programs. KSTC has long maintained partnerships throughout Kentucky, managed the KY Innovation Network for nearly twenty years, and is aligned with statutory requirements for providers of such services. With respect to the Hubs, KSTC currently handles various infrastructure, database, and support functions along with managing various events and marketing for Kentucky's entrepreneurial and commercialization efforts.

2022 - 2023 Activity Highlights for Reporting Period

| Number of Startups | Number of New | Number of Startup | Number of Events | Number of Event | Private Capital |
|--------------------|---------------|-------------------|------------------|-----------------|--------------------|
| Created | Jobs | Meetings | | Attendees | Raised by Startups |
| 94 | 495 | 5,383 | 577 | 21,668 | \$184.9 million |



KY Innovation, in partnership with KSTC, hosts monthly entrepreneurship support organization (ESO) calls and quarterly impact update webinars with statewide attendance. The ESO calls are open to any KY Innovation Hub or small business support organizations in the Commonwealth. The KY Innovation Hubs present their most recent results on the quarterly KY Innovation Hub impact call. Each of these meetings are accessible to the public via posts on YouTube.



Amplify Louisville

Bullitt County Henry County Jefferson County Nelson County Oldham County Shelby County Spencer County Trimble County

Amplify continued to prioritize making resources accessible, expanding its reach, and advancing startups. In FY23, that included the launch of a virtual community that creates a collaborative environment (online) to foster the exchange of ideas and perspectives that spark innovation and creativity. Amplify also grew to more than 4,000 total subscribers across its community platforms, a 22% growth. The Hub provided over 1,000 hours in coaching through its Entrepreneurs in Residence program and hosted custom programming to help startups advance. Overall, Amplify served more than 1,100 startups and assisted in the attraction of more than \$43 million in private capital.

By working with Amplify to get into GrowthX, we have more than doubled our YoY growth by implementing some of the techniques learned in the program including identifying/defining our ideal customers, honing in on their pain points, and writing better messages.

-Roland Achenjang, PharmD., MBA, founder and CEO of C2 Keep

Amplify has been an encouraging presence in the life of our early startup; smart and encouraging professionals who are always available to provide advice, connections, and helpful resources. In particular, the option for one-on-one sessions with the EIR is a valuable prompt to explore both goals and obstacles with an experienced mentor.

-Jennifer Mason, founder of TitleWise

We really appreciate everything Amplify and Founder Forward have done for us. We were able to connect with other professionals that had expertise that we didn't, but that we desperately needed. Because of Amplify, we got access to people that we may not otherwise have had access to.

-Nikki Patterson & Sean Smith, co-founders of P.E.P. Rally



Awesome Inc.

Anderson County Bourbon County Boyle County Franklin County
Jessamine County

Mercer County Scott County Woodford County

Awesome Inc made increasing the reach of its 5 Across program a priority for FY23. 5 Across attendance has increased so far from 1,018 attendees in FY22 to 1,373 attendees in FY23. The 35% increase in attendance is expected to have long-term benefits, including talent retention and increased new company creation. Overall, the Hub served more than 1,200 startups, which have raised more than \$35 million in funding.

Even in these early stages, CleanSpace has greatly benefited from the abundant connections, opportunities, and guidance extended by Awesome Inc and the individuals they've introduced us to. We deeply appreciate the collaborative relationships we've established within their thriving ecosystem.

- Aram Street, founder of CleanSpace



Blue North

Boone County Bracken County Campbell County Carroll County Gallatin County Grant County Harrison County Kenton County Mason County Owen County Pendleton County

In FY23, Blue North launched the Northern Kentucky Entrepreneur Fund (NKYEF) in partnership with Horizon Community Fund. The Hub leveraged the NKYEF to launch a partnership with Main Street Ventures to increase grant funding in Northern Kentucky. In this partnership, NKYEF covers half of the cost of any Leap or Launch grants made by Main Street Ventures to entrepreneurs in the region. The results included four times the number of grants to Kentucky entrepreneurs, of which, 12 received more than \$200 thousand. Underrepresented founders received 75% of grant funding. Overall, Blue North served more than 340 entrepreneurs, which raised more than \$2 million in funding.

Blue North is the catalyst that inspired me to relocate our company headquarters to Kentucky. In a mere six months, I've been deeply impressed by the unwavering support and resources they've provided. Digging deeper into that support, Dave Knox personally introduced me to several investor groups in the Kentucky area. One such introduction led to a significant investment in our venture, with more to follow. Beyond funding, Blue North has bridged gaps for us, offering essential resources at times when we lacked both the funds and the personnel to drive certain initiatives forward. Their hands-on approach has been instrumental in our progress. Perhaps what stands out most distinctly about Blue North's philosophy is its emphasis on the well-being of the founders. Recognizing the immense pressures of helming a startup, Blue North ensured founders had access to a professional therapist service through their Resilience Accelerator. This initiative, funded entirely by Blue North, underscores their genuine concern for the holistic success and well-being of their client founders. Personally, this service has had a profound and positive impact on me, and I believe it's an element of support that truly sets Blue North apart.

-Michael Ragsdale, founder of Bailout Systems

Blue North was instrumental in helping us find some of our first pilot users and paid clients. They helped us generate traction with their immense network of relationships with key decision makers in North Kentucky (and beyond).

-Paul Ehlinger, founder of Flamel AI, Inc.



CREATE

Allen County
Barren County
Breckinridge County
Butler County
Grayson County
Hardin County

LaRue County Logan County Marion County Meade County Simpson County Taylor County Warren County Washington County Daviess County Hancock County Ohio County

In FY 23, the Central Region Ecosystem for Arts, Technology, and Entrepreneurship (CREATE)* deepened and formalized its partnership with the Bowling Green Area Chamber of Commerce. During FY 22-23, StuppFiber—whose parent company is based in Missouri—opened its company headquarters at the WKU Innovation Campus HQ, making Bowling Green its first market of bringing digital superiority internet infrastructure to growing, underserved markets. Unique, an international furniture company, also put their US headquarters at the WKU Innovation Campus HQ and are partnering directly on CREATE and Bowling Green Area Chamber of Commerce programs. California-founded MyXR Inc. and Lunae Innovation Lab announced they were putting their regional and national headquarters, respectively, in Bowling Green at the WKU Innovation Campus.

CREATE has provided a hub of creativity and collaboration and has allowed us to connect with industry leaders worldwide, giving us access to their knowledge, tools, and networks. The vibrant campus they help operate here has sparked countless opportunities for us to work with experts, share ideas, and learn from others.

-Jeremy Jacobs, founder of Transmission

It's a little bit like that freshman year of high school lunch, when you don't know what lunch table you want to sit at. And you go to this one, this one, and this one, and you finally find one that's just right. It was the people, the presence, the initiative, the innovation, everything that was here, was exactly right for us.

-Hans Koch, CEO of MyXR Inc.

(CREATE's region) is uniquely poised to build an uplifting, cohesive, collaborative community for innovators, entrepreneurs, and creatives. After a decade and a half of surviving in competitive environments, we're looking forward to cultivating a collaborative ecosystem.

-Amanda Havard, CEO of Lunae Innovation Lab, and co-founder of Oria Health

^{*} The Cabinet's contract partner, Central Region Innovation and Commercialization Center (CRICC), changed their branding to CREATE in 2022. The contractual partner during the reporting period was CRICC.



GroWest

Ballard County
Caldwell County
Calloway County
Carlisle County
Christian County
Crittenden County
Fulton County

Graves County
Henderson County
Hickman County
Hopkins County
Livingston County
Lyon County
Marshall County

McCracken County McLean County Muhlenberg County Todd County Trigg County Union County Webster County

GroWest/Sprocket's global 1ST50K startup competition had 150 applicants, of which, two winners were selected for recruitment to Paducah: Ewagers and Feedcoyote. Both have had enormous success in growing their companies through the 1ST50K program. Ewagers has increased their outside investments into the company eight fold, while Feedcoyote has achieved a 119% MoM growth in its company's user base. The West KY Innovation Challenge, a regional program to find non-technical founders with tech fundable tech ideas, had 11 applicants and selected three winners to receive grant funding for product development. Authofi won first place and has already received \$50,000 in additional funding to continue growing their company. Overall, GroWest served more than 200 startups which raised \$600,000.

Coming to Paducah has exposed Feedcoyote to great resources in the region. In a short time, we were able to connect to local investors and onboard users through the 1st50k program. At the same time, the program provides full autonomy and teaches us to be independent while not alone in a thriving business atmosphere.

-Dr. Stevens Bonhomme, founder of Feedcoyote

I think in three years' time we'll have this aircraft up, we'll be flying it experimentally and testing the airplane. There's going to be many opportunities I see in the future to maybe put specialized things here and there as we grow. But yeah, we're looking to keep this as the hub of our growth and commercial aviation area.

-Rob Winston, founder of HopFlyt



Shaping Our Appalachian Region (SOAR)

Clay County **Edmonson County Hart County Clark County Madison County Adair County Bath County Bell County Boyd County Breathitt County Carter County Casey County Clinton County** Cumberland County **Elliott County Estill County** Fleming County Floyd County

Garrard County Green County Greenup County Harlan County Jackson County Johnson County Knott County **Knox County** Laurel County Lawrence County Lee County **Leslie County Letcher County Lewis County Lincoln County Magoffin County** Martin County McCreary County

Menifee County **Metcalfe County** Monroe County Montgomery County Morgan County **Nicholas County Owsley County Perry County** Pike County **Powell County Pulaski County Robertson County** Rockcastle County **Rowan County** Russell County **Wayne County** Whitley County Wolfe County

In FY 23 SOAR launched a self-service portal and content to allow anyone to access free resources to support their business. The Hub also created several pathways to improve access to direct services, including a business ideation map to assist in business plan creation, business idea workshops and a financial forecasting workbook to assist with planning. SOAR continued to host the Startup Appalachia Pitch Competition, which drew 28 applicants from 14 counties. Overall, the Hub served more than 400 startups and assisted companies that raised more than \$128 million in funding.

It has truly been my pleasure to work with the SOAR Innovation team. They have gone above and beyond. They have helped me design a website. They have given me resources, and have attached me to additional resources in their ecosystem. They have also set in on meetings to give me administrative support making sure all the information is taken in and used at its entire function. So I just want to thank the SOAR Innovation team for basically taking what was a dream and pushing it towards a reality. So Sabrina, Kristin, Latasha, and the whole staff of SOAR, I just want to say thank you. You are greatly appreciated, and you are greatly needed for the people of Eastern Kentucky. I just want to say thank you again, I appreciate you all.

-Craig Williams, owner of Focused Logistics

Sabrina has been instrumental to the growth of my business and my overall professional growth. I could list multiple things she has done, but the most important has been her introductions to those in her network. Any time I have an issue or a need, Sabrina is who I go to. She is all but guaranteed to have someone who can help me (if she can't do it herself!). She has introduced me to other business professionals, web designers, and even fellow investors. I attribute a part of my network growth to her. For that, I am very thankful.

- Shadow Skaggs, owner of Murray's Autoworx, Firstlight Home Care, Raven Enterprises, and Luna Corvus Oddities Shop









R&D and Commercialization

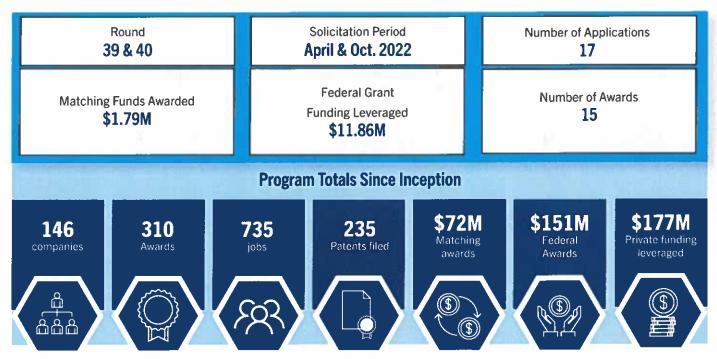
SBIR-STTR Research Commercialization Program

The Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) is the primary way in which the federal government funds commercialization efforts by small businesses and innovators across the country. The mission of the SBIR/STTR programs is to support scientific excellence and technological innovation through the investment of federal research funds in critical American priorities to build a strong national economy. Through a competitive awards-based program, SBIR and STTR grants enable small businesses to explore their technological potential and provide incentives to profit from its commercialization. To learn more about the federal SBIR-STTR program, please visit sbir.gov.

Since 2006, Kentucky has supported various programs to support Kentuckians in applying for such funds, including the creation of an SBIR/STTR matching fund program that provides non-dilutive grant funding to awardees currently in or willing to relocate to Kentucky. KY Innovation also enables partner programs that provide early stage support to companies that are considering applying for SBIR/STTR funding, develop broad relationships with federal agencies, and seek out additional solutions to continuously support research commercialization.

The Kentucky SBIR-STTR Matching Funds Program

This nationally recognized program, one of the most comprehensive of its kind in the nation, matches both Phase I and Phase II federal awards received by Kentucky's small, high-tech businesses or those willing to relocate to Kentucky. During the reporting period, the program matched Phase I federal awards up to \$100,000 to support exploration of the technical merit or feasibility of an idea or technology, and up to \$150,000 for Phase II federal awards, which support full-scale R&D. The number of Kentucky firms applying for and receiving federal awards has increased since Kentucky began the matching program. Since 2007, Kentucky has leveraged \$2.29 billion in federal awards for every dollar awarded in state matching awards. The 43 companies that relocated to Kentucky have attracted federal funds from six federal agencies for a total investment of \$46.5 million — funding that would have gone to other states if not for Kentucky's program.



SEE APPENDIX A FOR SBIR-STTR MATCHING FUNDS RECIPIENTS

Improved Success in Obtaining Federal SBIR and STTR Awards

Since 2006, Kentucky has provided various forms of assistance to companies and individuals in Kentucky at no cost in order to aid them in compiling the information necessary to apply for SBIR/STTR grants. During the reporting period, through a partnership with KSTC's Kentucky Science and Engineering Foundation (KSEF), 41 companies were assisted in grant writing assistance and over 122 companies were assisted through educational, outreach, and training programs, all without expense to the company. During the same reporting period, the SBA SBIR/STTR program reported 28 Kentucky companies received 36 federal U.S. Small Business Administration SBIR/STTR awards, totaling \$21.14 million.

SBIR/STTR Accelerator Programs

KY Innovation funded support resources through targeted SBIR/STTR accelerators that helped to prepare companies and inventors for applications to specific agencies. For example, the Department of Defense (DOD) is one of the largest federal funders for the SBIR/STTR program, and so the Cabinet supported a partnership between KSTC and OK Catalyst to operate two DOD and one NASA SBIR/STTR proposal accelerator cohorts. KY Innovation and KSEF also added proposal accelerators to help Kentucky companies navigate the unique requirements of NSF, NIH and USDA. During the reporting period, 99 companies enrolled in 7 proposal accelerators with intent to submit proposals. KSEF also facilitated 16 additional proposals with 5 federal agencies by using 18 micro-awards, averaging \$4,861, and this support resulted in 3 federal awards.

Kentucky Commercialization Ventures (KCV) Program

Kentucky Commercialization Ventures (KCV) was launched in 2020 through the KSEF, in partnership with KY Innovation, KSTC, the University of Kentucky and the University of Louisville, to develop the infrastructure and innovation culture that is fundamental to sustainable organic growth and talent attraction in the startup and innovation communities. KCV is a public-private partnership that unites Kentucky's public higher education institutions to advance innovation, intellectual property, and collaborative commercialization statewide through service to 22 regional universities and community and technical colleges across the Commonwealth. KCV is currently administered by KSTC.

In addition to the partners identified above, KCV's valued partner institutions include:







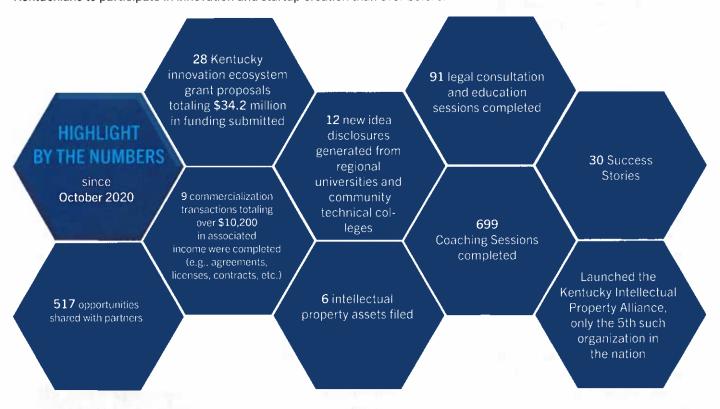








The nationally-awarded KCV partnership is driven by the vision that Kentucky ideas will change and improve lives. KCV works alongside its partners to expand access to dedicated technology transfer and new venture development services statewide to the benefit of regional universities and colleges that lack these resources. The initiative is nationally recognized as an innovative, shared service center model that sparks organic growth in Kentucky's technology sectors, contributes to an efficient product development infrastructure, and keeps Kentucky's best ideas protected to maximize their impact to Kentucky's growth. In its higher education community of over 165,000 faculty, staff, and students, KCV supports more Kentuckians to participate in innovation and startup creation than ever before.



A significant amount of time is required to realize the results of Kentucky innovation ecosystem grant proposals, license deals, and other commercialization transactions in maturity. The commercialization transactions number will continuously increase over time.

Selected Success Stories

For the first time, the 2023 KCV IMPACT Competition received applications from all of its partner institutions, including KCTCS.

The KCV IMPACT Competition is a commercialization competition for startup ideas with potential to positively impact Kentucky.

KCV supported execution of WKU's first startup license to BumptUp Labs, lead by Dr. Rachael Tinius. KCV supported Dr. Tinius from disclosure of idea, all the way through bootcamps like UAccel to forming a startup company.

The Kentucky Intellectual Property Alliance (KYIPA) hosted its first in-person event in Louisville, KY, followed by continued virtual events and events with partners around the state. Membership in FY 23 grew to 149.

KYIPA members are credited with the recent, historic addition of an IP section to the Kentucky Bar Association.

KCV completed its inaugural KCV Roadshow, visiting inperson each of the institutions supported by KCV. These visits included presentations on KCV to administration and faculty/staff, visits to KCV innovator lab spaces, and outreach to students on each campus.

The Kentucky IP Alliance (KYIPA)

In 2022, KCV launched the Kentucky Intellectual Property Alliance (KYIPA) to foster an effective ecosystem and marketplace to facilitate the creation, protection, and advancement of intellectual property in Kentucky by serving as a connecting organization statewide. KYIPA is administered by KSTC.



The organization grew its membership, its event offerings and outreach. KYIPA worked collaboratively across KY Innovation and KSTC's innovation programs to educate more inventors and entrepreneurs about the importance of IP protection and the available resources. In FY 23, KYIPA also launched a successful effort to name October 2024 Kentucky Intellectual Property Month, further raising awareness.

In FY 23, KYIPA:

- 149 Members (increased from 81 in FY 22)
- 6 Virtual Events
- 6 In-Person Events
- 325 Event Registrations
- 73 Legal Consultations & Education Sessions

Kentucky is looking at intellectual property protection through a modern economic development lends with KYIPA. For example, KYIPA's involvement in the entrepreneurial ecosystem has led to questions surrounding intellectual property to be included in the Kentucky's future bar exams as well as community engagement to advocate for more continuing education on intellectual property matters.

Kentucky Network for Innovation and Commercialization (KYNETIC)

In 2019, the National Institutes of Health (NIH) selected five new hubs to be part of the national Research Evaluation and Commercialization Hub (REACH) network. The Kentucky Network for Innovation and Commercialization (KYNETIC) was chosen to receive one of these prestigious REACH awards. This is a unique partnership between the University of Kentucky, University of Louisville, Kentucky Commercialization Ventures, which represents all of the state's other public universities and community and technical colleges, and the Cabinet.



The purpose of the program is to help identify and bring to market technologies that could benefit public health, as well as to establish a permanent culture for commercializing ideas and research emanating from Kentucky's higher education institutions. Projects were eligible for grants of up to \$40,625 per six-month cycle during the reporting period and up to \$200,000 total throughout the life of the program, but must compete for funding against new applications during each cycle.

During the reporting period, the KYNETIC hub adopted new processes and guidelines to guarantee that innovators from the regional universities and the community and technical college system had more opportunity to participate. The program now distributes three awards each cycle to the University of Kentucky and the University of Louisville, while distributing two awards each cycle to the regional universities and community and technical colleges. The program also increased efforts at outreach and education related to its member institution through a series of trainings, informational sessions and fireside chats, offered both live and virtually.

During Cycles 6 and 7, which coincide with the reporting period, KYNETIC received 21 and 30 pre-applications, respectively. Eight projects were funded in Cycle 6 (3 from UK, 3 from UofL, 1 from WKU and 1 from NKU), including two new projects and six ongoing project renewals. Eight projects were funded in Cycle 7 (3 from UK, 3 from UofL, 1 from WKU and 1 from EKU), including four new projects and four ongoing project renewals.

The following are some of the projects selected for funding in Cycles 6 and 7:

- An Eastern Kentucky University team developing a forensic/clinical DNA sample collection tool
- A Northern Kentucky University team developing more accurate hearing tests and lower cost hearing aids
- A Western Kentucky University team creating a virtual reality platform for increasing awareness of bias in child welfare decision-making
- A University of Louisville team developing a cancer therapeutic utilizing copper complexes
- A University of Louisville team developing a device that more effectively tests bone quality
- A University of Kentucky team developing an interactive tourniquet for would care
- A University of Kentucky team developing a device that improves suction for endoscopic surgeries

The KYNETIC grant will be concluded during FY 24. The same lead institution cannot re-apply for NIH REACH Hub status and funding in subsequent cycles. However, a proposal led by the University of Louisville that includes a partnership with neighboring states was approved for funding after the reporting period. That grant funding would begin in FY 24.

Kentucky Established Program to Stimulate Competitive Research (KY EPSCoR)

The purpose of the federal EPSCoR program is to help states become competitive for federal research dollars by building research infrastructure, including faculty, students and facilities. KY Innovation provides the 20% required state match for KY EPSCoR, which currently totals \$1.2 million per year. Kentucky currently has active grants with both the National Science Foundation (NSF) and NASA EPSCoR programs.

KY EPSCoR is governed by a Statewide Steering Committee, as required by the federal program, which oversees budget and research decisions. Furthermore, the Committee ensures government, industry and university collaboration. Membership is comprised of university administrators, research faculty and private sector individuals. The Statewide Committee has oversight authority over KY NSF EPSCoR and NASA programs regarding which grant proposals go forward for consideration for funding by the respective agencies. Department of Energy (DOE) EPSCoR and DOD EPSCoR programs each have a coordinator to serve as a communication link for funding opportunities through EPSCoR.

The EPSCoR programs help states and jurisdictions get a fair share of federal research dollars. The NSF initiated EPSCoR and other agencies joined. EPSCoR builds research infrastructure that make Kentucky more competitive for additional federal grants. Examples include NASA EPSCoR providing seed funding that led to the development of Space Tango, and NSF EPSCoR providing seed funding for a Multiscale Nano-manufacturing node at UofL and UK, one of 16 nationally recognized nodes that help industry. EPSCoR recognizes that students need access to scientific expertise in every state to prepare for jobs of the future. Overall 1,400 Kentucky college students have participated in NASA Kentucky team research projects since 2010. NSF and NASA require research focused in areas tied to an economic development plan.

KY NSF EPSCoR — The Cabinet provides the federally required 20% match for the five-year, \$20 million Track I grant (Kentucky Advanced Manufacturing Partnership for Enhance Robotics). The grant and match have continued to fund research on materials and robotics in support of manufacturing consistent with a statewide economic strategy. The grant and resultant research have contributed to participating institutions securing nearly \$20 million in additional follow-on funding for 32 associated projects (seven in during the reporting period) over the first four years of the grant. In addition to generating exciting research, participants have filed 33 patents (five in the reporting period) and executed six licenses since the grant was secured. During the reporting period, grant funds have created/supported 156 jobs. On average, about 200 positions per year have been funded through the grant, along with supporting 15 postdocs, 50 graduate students and 121 undergraduates. Seven students also participated in the EPSCoR Internship program at Kentucky-based companies during the past fiscal year.

KY NASA EPSCoR — During the current biennium, the NASA KY programs directly supported 106 aerospace-related projects for 68 faculty and project leads at 19 KY colleges, universities, businesses and STEM Education programs, and 102 students for paid research positions. Recent NASA Economic Impact Studies indicate that, overall, NASA grants and contracts in the state annually support over \$33 million in economic output impact, 165 KY jobs and \$1 million in KY tax revenue.

The NASA KY programs achieved 1:23 ROI in relation to \$2.9 million in state match funding dating back to 2018 and over \$68 million in follow-on federal funding to program participants in recent years. In the current biennium, NASA KY programs received \$1 million in state match for \$6,577,101 in federal projects that require cost-share. This funding contributed to match requirements for 5 Federal projects authorized by Congress and sponsored by NASA [NASA EPSCOR RID, NASA EPSCOR RA (3), NASA Space Grant]. The program successfully competed for 7 additional NASA awards for \$1,510,000 in funding and secured a value of \$2,146,743 in institutional cost-share commitments from Kentucky universities and science centers. This leveraged match, including the base awards plus institutional commitments and additional awards, has a 1:10 ROI for the biennium.

- ROI of 1:10 for current biennium
- ROI of 1:23 for follow-on projects over 6 years
- 106 KY aerospace-related projects and 102 KY jobs

KY DOE EPSCoR (No current-year award): An implementation award was announced in July 2023 for a team led by the University of Kentucky for the project: Understanding how materials selection and pattern geometry impact light-matter interactions in nanoscale magnets arrays. The grant also includes involvement of Transylvania University, Centre College, and University of Delaware. The total awarded for the first two years is \$2.75 million, with a \$100,000 state matching commitment. The anticipated direct return on investment exceeds 20:1. This does not consider the significant additional support that would come for a renewal after the first two years. Such a renewal has the potential of \$2.5 million per year for four additional years, nor the potential impact and future grants that would be enabled by the infrastructure and new faculty that will be a direct consequence of this grant.

Funding and High-Tech Business Development

Kentucky Enterprise Fund Program

The Kentucky Enterprise Fund Program - managed by Keyhorse Capital, the investment arm of KSTC - invests in and provides other resources to seed and early-stage companies to build strong technology-based companies in Kentucky.



A core part of the Kentucky Enterprise Fund Program is the Kentucky Enterprise Fund (KEF), which invests in seed and early-stage companies developing products and/or services targeting the life sciences, IT/Software, materials and manufacturing, environment and energy markets, and other early-stage high growth and scalable businesses. The programs function in accordance with the guidelines provided in the Kentucky Innovation Act. Those guidelines (K.R.S. §164.6021) are as follows:

- Grants and funding of up to \$50,000 for companies exploring the feasibility of technology commercialization or projects related to feasibility studies, such as incubator and accelerator programs;
- Funding of up to \$250,000 for companies in the concept development phase of technology commercialization; and,
- Funding of up to \$500,000 for companies advancing and promoting the program goals.

Applicants to KEF undergo a thorough due diligence process that includes research and analysis of the applicant's management team, technology, product/service, target market, business model, financials, competitive advantages, and competitive barriers.

To date, counting investments and other grants made, KEF has made more than \$45 million in investments in Kentucky companies that have attracted more than \$1.5 billion in follow-on capital and created jobs with an average annual salary of \$90,000.

| Applications and Awards by Fiscal Year | | | | | | | |
|--|--|--------------|--------------|--------------|---------------|--|--|
| | FY02-20 FY21 FY22 FY23 TOTAL | | | | | | |
| Applications Reviewed | 1791 | 55 | 115 | 199 | 2160 | | |
| Funds Requested | Funds Requested \$161,659,921 \$14,207,000 \$26,888,250 \$67,466,541 \$270,221,7 | | | | | | |
| Number of Awards | Number of Awards 678 32 47 54 811 | | | | | | |
| Amount of Awards | \$ 50,370,441 | \$ 4,675,000 | \$ 4,723,250 | \$ 5,115,041 | \$ 64,883,732 | | |

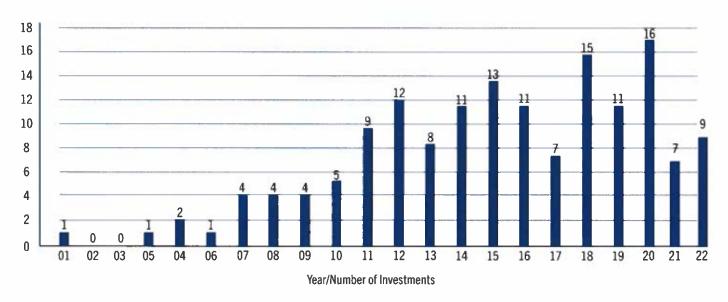
SEE APPENDIX B FOR KEF PORTFOLIO INFORMATION

Commonwealth Seed Capital (CSC)

Commonwealth Seed Capital, LLC (CSC) was established by the Kentucky Economic Development Partnership Board and as an independent, non-profit fund that makes investments in early-stage Kentucky businesses and other funds that do the same to facilitate the commercialization of innovative ideas and technologies. Over the reporting period, CSC continued to foster Kentucky's brightest ideas while continuing to prioritize transparency, responsibility, high tech, and strong growth as a non-government entity overseen by the state. All CSC results are for calendar year 2022.



CSC Direct Company Investments by Year



SEE APPENDIX C FOR CSC PORTFOLIO INFORMATION

Kentucky New Energy Ventures Fund

The Kentucky New Energy Ventures Fund (KNEV) was included in House Bill 1 of the Extraordinary Session of the 2007 Kentucky General Assembly for the purpose of enabling Kentucky-based companies to undertake research, development, and commercialization in the field of alternative fuels and renewable energy. The \$5 million KNEV fund, since 2008, has funded 23 grants and 8 investments awarded to companies for a total of \$3.2 million in funding. No awards were issued during the reporting period.

High-Tech Pool Program

The High-Tech Pool Program (HTP), administered by KY Innovation, has used funds since January 2003 to assist companies and projects throughout the Commonwealth that work to support technology-based companies. All funding must be approved by the Kentucky Economic Development Finance Authority (KEDFA). No awards were issued during the reporting period.

SEE APPENDIX D FOR HISTORICAL INFORMATION ABOUT HTP RECIPIENTS

Kentucky Angel Investment Act

The Kentucky Angel Investment Tax Credit (KAITC) program began in January 2015. Subject to a statutory limit of \$3,000,000 per calendar year, the program provides up to \$200,000 in non-refundable income tax credits to qualified individual investors. The purpose of this program is to encourage investments in Kentucky small businesses, create additional jobs, and promote the development of new products and technologies.

Qualified Small Businesses, as defined in the guidelines, must be engaged in bioscience, environmental and energy technology, health and human development, information technology and communications, materials science and advanced manufacturing, or other new economy knowledge based activity.

Qualified individual investors making qualified investments in qualified small businesses may be eligible for a tax credit of up to 40 percent of their investment in counties with high unemployment rates, or enhanced counties, and 25 percent in all other counties.

Applications were not accepted during calendar year 2020 pursuant to statutory changes. Following the legislative re-enactment of the program, KY Innovation resumed processing KAITC applications for Qualified Small Businesses, Qualified Investors, and Qualified Investments received on or after January 4, 2021.

From July 1, 2022, through June 30, 2023, a total of \$1,772,101 in Angel Investment Tax Credits were approved.

FY22 Kentucky Angel Investment Tax Credit (KAITC)

\$7,773,458

Projected Angel Investment \$7,288,465

Actual Angel Investment

\$1,908,361

Projected Tax Credit

\$1,772,101

Actual Angel Credit

The KY Angel Investment Tax Credit program is vital to the Kentucky entrepreneurship community. It incentivizes local investors to support growing start-ups in Kentucky. Having a program like this in place will continue to encourage more businesses to start right here in Kentucky.

- Brittany Harris, Rave On Sports

SEE APPENDIX E FOR DETAILS ABOUT KAITC RECIPIENTS

Small Business Incentives and Development

KY Small Business Tax Credit (KSBTC) Program

The Kentucky Small Business Tax Credit (KSBTC) program allows eligible small businesses paying taxes imposed by KRS 141.020 (income tax on individuals), KRS 141.040 (corporation income tax), or KRS 141.0401 (limited liability entity tax) to apply for a Kentucky state income tax credit. Subject to a statutory limit of \$3,000,000 per state fiscal year, this program provides a non-refundable state income tax credit for small businesses that create and fill one or more eligible positions and invest \$5,000 or more in qualifying equipment or technology.

Applicants are eligible to receive a tax credit in an amount not to exceed the lesser of 1) \$3,500 per eligible position; or 2) the total dollar amount invested in qualifying equipment or technology. Applicants are also subject to a \$25,000 maximum tax credit cap per applicant for each calendar year. Since 2011, the KSBTC program has authorized \$13,058,814 of tax incentives for 894 recipients, with 3,880 estimated new jobs. During the reporting period, \$1,501,900 of tax incentives for 158 recipients, with 446 new jobs, were authorized.

Authorized KSBTC Program Totals

\$13,058,814

of tax incentives for 894 recipients

3,880

estimated new jobs

\$1,501,900

of tax incentives for 158 recipients

446

new jobs were authorized

SEE APPENDIX F FOR KSBTC RECIPIENTS

Kentucky Small Business Credit Initiative (KSBCI)

Through the Small Business Jobs Act of 2010, the Cabinet applied for and received approval for \$15.5 million to create the Kentucky Small Business Credit Initiative (KSBCI). In April of 2017, KEDFA authorized an additional \$5 million of bond funds from the KEDFA loan pool to fund projects through the KSBCI program.

As it relates to KSBCI, it is worth noting that Section 3301 of the American Rescue Plan Act of 2021 reauthorized the State Small Business Credit Initiative, providing \$10 billion to implement the second round of this program. (See next section). Kentucky's allotment for this second round of KSBCI 2.0 funding was approved by the U.S. Department of Treasury in February 2023.

Of Kentucky's \$117 million allotment, 30% will be used for loan support through KSBCI Participating Lenders and 70% is for venture capital equity investments in high growth companies. The KSBCI 2.0 venture capital funds will be managed by Kentucky Science and Technology Corporation's seed-stage venture capital firm, Keyhorse Capital.

Kentucky Small Business Credit Initiative 1.0 (KSBCI)

KSBCI 1.0 includes three distinct credit enhancement programs designed to generate jobs and increase the availability of credit to small businesses by reducing the risk participating lenders, credit unions, and community development financial institutions assume. KSBCI 1.0 leverages funding from these private lenders to help finance creditworthy small businesses that would typically fall just outside a lender's normal underwriting standards.

As of June 30, 2023, KSBCI has provided over \$22.5 million of support to projects totaling over \$182 million. During the reporting period, KSBCI provided \$457,360 of support to projects totaling over \$3 million. There are 49 KSBCI participating lenders across the state, including banks, CDFIs, and credit unions.

Kentucky Small Business Credit Initiative 2.0 (KSBCI 2.0)

KSBCI 2.0 Lending Programs

Credit enhancement programs currently available through KSBCI 2.0 are:

Kentucky Loan Participation Program: Allows the Kentucky Economic Development Finance Authority (KEDFA) to purchase up to twenty percent (20%) of a small business loan to support a participating lender to originate much larger loans that, on its own, would not have been possible.

Kentucky Collateral Support Program: Provides a pledged asset (cash collateral account) to an enrolled lender of up to 20% of their loan in order to enhance the collateral coverage of a small business borrower that is otherwise qualified but unable to meet the lender's security requirements.

KSBCI 2.0 promotes entrepreneurship, job creation and equitable access to capital. To increase equitable access to capital, KSBCI 2.0 offers enhanced collateral support of up to 50% on projects where the borrowers identify as Socially or Economically Disadvantaged Individuals (SEDI), Very Small Businesses (VSB), or Disaster Relief Affected Businesses (DRAB).

KY Innovation has actively promoted KSBCI 2.0 to lenders with in-person presentations at the Kentucky Area Development District (ADD) offices across the Commonwealth. KSBCI 2.0 Lender Enrollment and Loan Enrollment is now available online.

There are currently 49 KSBCI participating lenders across the state, including banks, CDFIs, and credit unions. Two KSBCI 2.0 loans have been approved but not yet closed by June 30, 2023.

KSBCI 2.0 Venture Capital Programs

Up to 70% of Kentucky's KSBCI 2.0 allotment will be directed toward creating early-stage venture capital programs. As with KSCBI 2.0 lending programs, there is an emphasis on expanding access to capital, including Socially or Economically Disadvantaged Individuals (SEDI), Very Small Businesses (VSB), or Disaster Relief Affected Businesses (DRAB).

The Cabinet, which cannot take an equity stake in private companies, contracts with the Kentucky Science and Technology Corporation and its venture capital arm, Keyhorse Capital, to manage the equity investment process. There are currently two KSBCI 2.0 venture programs available in Kentucky:

The Kentucky Matching Ventures Fund: The Kentucky Ventures Fund makes direct investments in early to growth stage, technology-based companies in Kentucky seeking private equity funding from angel and venture capital investors for working capital, growth, and expansion.

Funding may be available to Kentucky-based companies that meet the following criteria:

- Currently raising capital via equity or convertible debt
- Have 1:1 or greater investment commitment from private investors
- Fewer than 500 employees
- The ability to grow and scale your business

The Kentucky Strategic Ventures Fund: The Kentucky Strategic Ventures Fund provides matching investments in proven, professionally managed private equity and venture capital funds, located either within or outside of Kentucky, that commit to aggressive and visible deal prospecting and direct investment in Kentucky companies.

Funding may be available to firms that meet the following criteria:

- Currently raising capital via SEC-approved venture capital funds
- Have 10:1 or greater investment commitment from private investors
- Are investing in Kentucky-based businesses with fewer than 500 employees

As of June 30, 2023, Keyhorse Capital and the Cabinet were working collaboratively to design and implement an investment process that adheres to the compliance standards of the U.S. Department of Treasury and the Commonwealth. Multiple deals were expected to be completed in early FY 24.

Kentucky KY APEX Accelerator

Formerly Kentucky Procurement Technical Assistance Center (KYPTAC)

The KY APEX Accelerator program, known until December 2022 as Kentucky Procurement Technical Assistance Center (KYP-TAC), promotes inclusive economic development by assisting companies with providing products and/or services to federal, state, and local governments. KY APEX Accelerator is funded in part by a cooperative agreement with the federal Department of Defense and is supported by KY Innovation via matching funds. KY APEX Accelerator's agreement with KY Innovation is managed by KSTC. In addition to helping small businesses prepare to compete for public contracts, KY APEX Accelerator professionals provide expert guidance on topics ranging from acquisition procedures and policies to cybersecurity. All services to Kentucky businesses are provided at no cost to the business owner. KY Innovation's matching funds are allocated to staffing for KY APEX Accelerator's statewide counseling services. Offices are currently located in Paducah, Bowling Green, Louisville, Lexington (headquarters), Elizabethtown, Covington, and Morehead.

During the Reporting Period



Kentucky Selling Farmer Tax Credit (KSFTC)

The Kentucky Selling Farmer Tax Credit (KSFTC) program encourages continued use of agricultural land for farming by granting tax credits to selling farmers who agree to sell agricultural land and assets to beginning farmers. Farmers wanting to sell agricultural land and assets may be eligible for a Kentucky income tax credit up to 5% of the purchase price of qualifying agricultural assets, subject to a \$25,000 calendar year cap and a \$100,000 lifetime cap. The statutory program requirements are set forth in KRS 154.60-040. Sales involving immediate family members do not qualify for tax credit consideration.

Tax credits awarded through this program may be claimed against the Kentucky individual income tax imposed by KRS 141.020, the corporation income tax imposed by KRS 141.040, and the limited liability entity tax imposed by KRS 141.0401. The tax credits are non-refundable, but unused credits may be carried forward up to five years.

The total combined allocation of tax credits available for both the Kentucky Selling Farmer Tax Credit program and the Kentucky Small Business Tax Credit program is \$3,000,000 per fiscal year. Both programs draw from the same tax credit pool. During the reporting period, a total of \$70,000 in credits were approved.

SEE APPENDIX H FOR KSFTC RECIPIENTS

State Trade Expansion Program (STEP) & Kentucky Export Initiative (KEI)

The Small Business Jobs Act of 2010 created STEP in partnership with U.S. states and territories to assist small businesses with entering and expanding into international markets.

The Kentucky Cabinet for Economic Development (CED) receives an annual or bi-annual competitive financial award from the Small Business Administration (SBA). The Cabinet then matches a percentage of funding. Eligible Kentucky small businesses are admitted into STEP through an application process and receive reimbursement awards for allowable activities. The Cabinet submits quarterly reports on disbursements and outcomes of grant activity to the SBA.

Following Kentucky's entry into the STEP program in 2011, the Cabinet for Economic Development created the Kentucky Export Initiative (KEI) to unite and streamline efforts of Kentucky's international trade partners. The initiative's focus is to assist Kentucky companies increase their revenue by finding new markets for their products, with the expectation that increased revenue will create new jobs, retain current employees, and boost GDP.

The KEI website serves as the central online location of resources for Kentucky exporters. The STEP application, identification of federal and state agencies' in the collaboration and their resources, export facts, and events such as training, trade show and trade mission opportunities posted on the site make it easy for businesses to find information on exporting and connect with KEI partners for export assistance.

Kentucky exported nearly \$34.5 billion of its goods and services to 205 countries in 2022. According to the latest available data, Kentucky exports supported over 106,483 Kentucky jobs (2020). The total of all commodities exported in 2022 was a 15.70% increase over 2021. Kentucky continues to regain the momentum and success that saw the Commonwealth prior to the COVID19 pandemic and onset of tariffs.

Kentucky companies continued to show strong interest in the STEP grant. For example, companies claimed reimbursements on export activities such as exhibiting at international trade shows, foreign market sales visits, shipping product samples, subscribing to U.S. Commercial Service resources, export training and others identified as allowable by the SBA.

In May 2023, CED submitted a written proposal in response to the nationally competitive SBA notice of funding opportunity (NOFO) STEP Year 11 FY 23 for \$400,000 federal funds with \$133,000 CED matching funds for a 24-month grant. The STEP Year 11 grant was later awarded in September 2023. The Cabinet was awarded \$400,000 for STEP Year 10 in September 2022 through the same process.

| | State Trade Expansion Program | | | | | |
|--------------------|--------------------------------------|-----------------------------|---|------------------------------|--|--|
| Step Grant Year | Performance Period of Awards | Number of Reimbursements | Number of Participating Companies | Amount Reimbursed | | |
| 9 | September 30,2020- September 29 2022 | 95 | 39 | \$297,500 | | |
| 10 | September 30,2022- September 29 2024 | 53 (3 quarters) | 32 (3 quarters) | \$185,915.80 (3 quarters) | | |

STEP Testimonials

The STEP grant has enabled me to meet with the academic researchers that are driving fashion technology...Thank you for making this extended trip for customer discovery and developing deep industry partnerships in Berlin happen for us.

Susan Spencer, Seamly Systems

With the funds we have been able to recover through STEP, we have been able to allocate more funds to grow the business even further in and outside of target markets.

Heather Cage, Shamrock Marketing, Inc.

Since 2014, the STEP grant has supported our efforts in growing our business globally. The grant has reimbursed us for allowable expenses related to current customers we export to and new potential customers. The STEP grant continues to reframe allowed reimbursable expenses that allow us to compete internationally and grow our global business.

Debra Dudley, Oscarware

Metals Innovation Initiative (MI2)

In FY 22, MI2 convened a founding set of eight Kentucky metals companies to explore open, collaborative, pre-competitive, de-risked innovation on behalf of the metals industry in the Commonwealth, a first-of-its-kind organization in the U.S. metals industry and in the state. Since that time, the organization has expanded to 13 metals industry members. MI2 has also focused on bringing the Kentucky metals industry more top-of-mind for key ecosystem partners in the Commonwealth—including state government, universities, economic developers, workforce developers, and others.

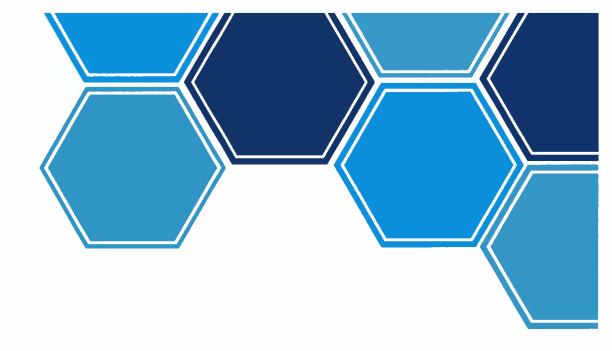


In FY 23, MI2 brought those ecosystem partners together with metals companies in FY 23 to plan key initiatives like the inaugural MI2 Annual Conference, scheduled for September 2023 focused on "The Factory of the Future" and the creation of a Kentucky metals industry guide, a collaborative effort with the Cabinet for Economic Development scheduled for publication during that conference.

The partnerships have allowed the metals industry to have a significant seat at the table in key strategic development initiatives in Kentucky and the region, including the Kentucky/Tennessee Generating Advanced Manufacturing Excellence for Change (GAME Change) coalition supported by a National Science Foundation (NSF) Engines development grant, as well as the Kentucky-led coalition, also including Ohio and West Virginia, and focused on additive manufacturing. That project is a finalist for an NSF Engines grant expected to be announced in FY24. MI2 is also a part of the "Job Quality Academy" Kentucky team working with the U.S. Department of Labor, and US Economic Development Administration Tech Hub proposals for tech economic development in the Louisville/Lexington/Frankfort area and in the Bowling Green area.

MI2 continues to build initiatives around the focus areas of talent attraction and development and sustainability.

MI2 receives funding on a reimbursement basis from the Cabinet through an agreement managed by Kentucky Science and Technology Corporation.



APPENDICES

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|----------------|
| 1/2023 | 1109 Bravo LLC | Health and Human Development | \$150,000.00 |
| 1/2023 | Adelphi Technology, LLC | Environmental and Energy Technology | \$150,000.00 |
| 1/2023 | CircCure Corporation | Health and Human Development | \$100,000.00 |
| 1/2023 | Covert Defenses LLC | Environmental and Energy Technology | \$100,000.00 |
| 1/2023 | Lepidext, Inc. | Bioscience | \$100,000.00 |
| 1/2023 | Thermisoln, LLC | Materials Science and Advanced Manufacturing | \$100,000.00 |
| 1/2023 | vCardio, Inc. | Health and Human Development | \$90,777.00 |
| 1/2023 | Wicked Sheets, LLC | Health and Human Development | \$98,650.00 |
| 7/2022 | 3P Biotechnologies, Inc | Bioscience | \$99,920 |
| 7/2022 | Aviation Saftey Resources Inc. | Materials Science and Advanced Manufacturing | \$149,478 |
| 7/2022 | CreoSalus Inc. | Health and Human Development | \$149,699.00 |
| 7/2022 | Gen Nine, Inc. | Information Technology and Communications | \$100,000 |
| 7/2022 | Invictus Informatics, LLC | Bioscience | \$99,973 |
| 7/2022 | Orb Technologies LLC | Environmental and Energy Technology | \$150,000 |
| 7/2022 | Pascal Tags, Inc. | Materials Science and Advanced Manufacturing | \$150,000 |
| 1/2022 | AmDX PrognostX Incorporated, Inc. | Human Health and Personalized Medi- cine | \$80,000 |
| 1/2022 | Bluegrass Advanced Materi- als, LLC | Environmental and Energy Technologies | \$80,000 |
| 1/2022 | Carina Medical LLC | Human Health and Personalized Medicine | \$140,000 |
| 1/2022 | Hexalayer LLC | Environmental and Energy Technologies | \$140,000 |
| 1/2022 | Hummingbird Nano, Inc. | Materials Science and Advanced Manufacturing | \$80,000 |
| 1/2022 | Lepidext Inc. | Agriculture and Bioscience | \$80,000 |
| 1/2022 | RalphVR, Inc. | Information Technology and New Media | \$80,000 |
| 1/2022 | Signal Solutions, LLC | Agriculture and Bioscience | \$140,000 |
| 1/2022 | Synaptek LLC | Human Health and Personalized Medicine | \$80,000 |
| 7/2021 | Active Therapy Systems, LLC | Human Health and Personalized Medicine | \$80,000 |
| 7/2021 | Adelphi Technologies, LLC | Environmental and Energy Technologies | \$80,000 |
| 7/2021 | HealthTech Solutions, Inc. | Information Technology and New Media | \$125,000 |
| 7/2021 | Hitron Technologies, Inc. | Environmental and Energy Technologies | \$125,000 |
| 7/2021 | Inquiry Technologies, LLC | Information Technology and New Media | \$125,000 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| 7/2021 | Kentucky Imaging Technologies, LLC | Human Health and Personalized Medicine | \$80,000 |
| 7/2021 | MEMStim, LLC | Materials Science and Advanced Manufacturing | \$125,000 |
| 7/2021 | VerraGlo, LLC | Agriculture and Bioscience | \$80,000 |
| 7/2021 | Wild Dog Physics, LLC | Human Health and Personalized Medicine | \$80,000 |
| 7/20 | Pascal Tags, LLC | Materials Science and Advanced Manufacturing | \$120,000 |
| 7/20 | PowerTech Water, Inc. | Environmental and Energy Technologies | \$290,000 |
| 7/20 | Advanced Energy Materials, LLC | Materials Science and Advanced Manufacturing | \$290,000 |
| 7/20 | MEMStim, LLC | Materials Science and Advanced Manufacturing | \$290,000 |
| 7/20 | Bioptics Technology, LLC | Human Health and Development | \$120,000 |
| 7/20 | Cloverleaf.me.Inc. | Information Technologies and Communication | \$290,000 |
| 7/19 | Enomalies, LLC | Information Technologies and Communication | \$461,000 |
| 7/19 | Hexalayer, LLC | Environmental and Energy Technologies | \$150,000 |
| 7/19 | Carina Medical, LLC | Human Health and Development | \$150,000 |
| 7/19 | Brockman-Hastings, LLC | Human Health and Development | \$500,000 |
| 7/19 | Lagrange Scientific, LLC | Human Health and Development | \$150,000 |
| 2018 | Bluegrass Advanced Materials, LLC | Human Health and Development | \$500,000 |
| 2018 | PowerTech Water, Inc. | Environmental and Energy Technologies | \$150,000 |
| 2018 | Signal Solutions, LLC | Biosciences | \$150,000 |
| 2018 | Active Therapy Systems LLC | Human Health and Development | \$150,000 |
| 2018 | Lepidext L.L.C. | Biosciences | \$100,000 |
| 2018 | Liberate Medical, LLC | Human Health and Development | \$112,387 |
| 2018 | Enepret Incorporated | Biosciences | \$142,584 |
| 2018 | Bert Thin Films, LLC | Environmental and Energy Technologies | \$249,994 |
| 2018 | Endoscopy Assist Devices, LLC | Human Health and Development | \$150,000 |
| 2018 | Gismo Therapeutics Inc. | Human Health and Development | \$500,000 |
| 3/17 | MosquitoMate Inc | Biosciences | \$281,483 |
| 3/17 | Brockman Hastings LLC | Human Health and Development | \$312,500 |
| 3/17 | Advanced Energy Materials, LLC | Materials Science and Advanced Manufacturing | \$343,080 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| 3/17 | MEMStim, LLC | Materials Science and Advanced Manufacturing | \$417,425 |
| 3/17 | GISMO Therapeutics Inc | Human Health and Development | \$150,000 |
| 9/16 | PowerTech Water, LLC | Materials Science and Advanced Manufacturing | \$397,194 |
| 9/16 | Advanced Energy Materials, LLC | Materials Science and Advanced Manufacturing | \$150,000 |
| 9/16 | Liberate Medical LLC | Human Health and Development | \$250,000 |
| 9/16 | IOP Technologies, LLC | Environmental and Energy Technologies | \$124,901 |
| 9/16 | ORB Technologies, LLC | Environmental and Energy Technologies | \$150,000 |
| 9/16 | Hera Testing Labs, Inc. | Human Health and Development | \$400,000 |
| 9/16 | Lumenari (fka EIE Materials, Inc.) | Materials Science and Advanced Manufacturing | \$255,443 |
| 9/16 | UHV Technology, Inc. | Human Health and Development | \$400,000 |
| 7/1/16 | Pyrochem Catalyst Company | Materials Science and Advanced Manufacturing | \$390,000 |
| 7/1/16 | Hummingbird Nano Inc. | Materials Science and Advanced Manufacturing | \$268,838 |
| 7/1/16 | Mercury Data Systems, | Information Technology and Communications | \$378,332 |
| 4/1/16 | TutorGen, Inc. | Information Technology and Communications | \$487,500 |
| 4/1/16 | Odorcept, LLC | Biosciences | \$150,000 |
| 4/1/16 | RedLeaf Biologics, Inc. | Biosciences | \$150,000 |
| 1/1/16 | Inquiry Technologies, LLC | Information Technologies and Communication | \$99,995 |
| 1/1/16 | FasCure Therapeutics, LLC | Biosciences | \$150,000 |
| 1/1/16 | Ncolalde R&D LLC | Information Technologies and Communication | \$106,486 |
| 1/1/16 | Endo Protech Inc. | Human Health and Development | \$500,000 |
| 1/1/16 | Rosellini Scientific, LLC | Biosciences | \$150,000 |
| 1/1/16 | PGXL Technologies, LLC | Biosciences | \$150,000 |
| 10/1/15 | Twin Star TDS, LLC | Biosciences | \$150,000 |
| 10/1/15 | Signal Solutions, LLC | Biosciences | \$499,987 |
| 10/1/15 | UHV Technology Inc. | Human Health and Development | \$150,000 |
| 10/1/15 | True Secure SCADA, LLC | Information Technology & Communications | \$148,721 |
| 10/1/15 | ORB Technologies, LLC | Environmental and Energy Technologies | \$99,993 |
| 10/1/15 | PowerTech Water, LLC | Environmental and Energy Technologies | \$150,000 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| 10/1/15 | UHV Technology, Inc. | Materials and Advanced Manufacturing | \$491,000 |
| 10/1/15 | EIE Materials, Inc. (dba Lu- menari) | Materials and Advanced Manufacturing | \$474,246 |
| 10/1/15 | Gen Nine, Inc. | Information Technology and Communications | \$500,000 |
| 10/1/15 | Biomedical Development Corporation | Human Health and Development | \$500,000 |
| 10/1/15 | Sunstrand, LLC | Materials and Advanced Manufacturing | \$262,496 |
| 10/1/15 | Exscien | Biosciences | \$500,000 |
| 7/1/15 | Lakota Technical Solutions, Inc. | Information Technology and Communication | \$499,958 |
| 7/1/15 | Hera Testing Labs | Human Health and Development | \$500,000 |
| 7/1/15 | Hera Testing Labs | Human Health and Development | \$225,000 |
| 7/1/15 | GISMO Therapeutics, Inc. | Human Health and Development | \$224,997 |
| 7/1/15 | TutorGen, Inc. | Information Technology and Communications | \$225,000 |
| 7/1/15 | TherapyX, Inc. | Biosciences | \$271,000 |
| 4/1/15 | Bert Thin Films, LLC | Materials and Advanced Manufacturing | \$150,000 |
| 4/1/15 | Amelgo, LLC | Biosciences | \$228,215 |
| 4/1/15 | Naowise | Environmental and Energy Technologies | \$149,784 |
| 4/1/15 | MosquitoMate Inc. | Biosciences | \$150,000 |
| 4/1/15 | Okeanos Technologies, Inc | Environmental and Energy Technologies | \$215,930 |
| 4/1/15 | 3P Biotechnologies, Inc. | Human Health and Development | \$150,000 |
| 1/1/15 | Advanced Genomic Technologies | Human Health and Development | \$500,000 |
| 1/1/15 | Advanced Energy Materials, LLC | Materials Science and Advanced Manufacturing | \$483,003 |
| 1/1/15 | Mercury Data Systems, Inc. | Information Technology and Communications | \$499,760 |
| 1/1/15 | Innovative Diagnostics, Inc. | Human Health and Development | \$150,000 |
| 1/1/15 | Pyrochem Catalyst Company | Materials and Advanced Manufacturing | \$150,000 |
| 1/1/15 | Twin Star TDS | Biosciences | \$150,000 |
| 1/1/15 | MEMStim, LLC | Materials and Advanced Manufacturing | \$150,000 |
| 1/1/15 | Gen9, Inc. | Information Technology and Communications | \$150,000 |
| 1/1/15 | nGimat, LLC | Materials and Advanced Manufacturing | \$499,978 |
| 10/1/14 | Liberate Medical, LLC | Human Health and Development | \$149,092 |
| 10/1/14 | Gradient Engineering, Inc. | Materials Science and Advanced Manufacturing | \$487,490 |
| 10/1/14 | MosquitoMate, Inc. | Biosciences | \$497,894 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| 10/1/14 | Minerva Systems & Technologies, LLC | Information Technology and Communications | \$149,999 |
| 10/1/14 | Hummingbird Nano, Inc | Materials Science and Advanced Manufacturing | \$149,265 |
| 7/1/14 | Science Tomorrow, LLC | Materials Science and Advanced Manufacturing | \$500,000 |
| 7/1/14 | UHV Technologies, Inc. (dba NanoRANCH Environmental Systems, Inc. | Environmental and Energy Technologies | \$499,995 |
| 7/1/14 | W-Z BioTech, LLC | Human Health and Development | \$148,843 |
| 4/1/14 | Tribo Flow Separations, LLC | Environmental and Energy Technologies | \$150,000 |
| 4/1/14 | WaveTech, LLC | Materials Science and Advanced Manufacturing | \$150,000 |
| 4/1/14 | Angiomics, Inc. | Biosciences | \$99,506 |
| 4/1/14 | Sequela, LLC | Human Health and Development | \$500,000 |
| 4/1/14 | Louisville Biosciences, Inc. | Biosciences | \$249,913 |
| 4/1/14 | Kentucky Imaging Technologies, LLC | Human Health and Development | \$148,821 |
| 4/1/14 | PGXL Technologies, LLC | Biosciences | \$149,910 |
| 4/1/14 | Info Beyond Technologies, LLC | Information Technology and Communications | \$150,000 |
| 4/1/14 | Mercury Data Systems, Inc. | Information Technology and Communications | \$147,908 |
| 4/1/14 | AllTranz, Inc. | Biosciences | \$500,000 |
| 4/1/14 | TutorGen, Inc. | Information Technology and Communications | \$150,000 |
| 4/1/14 | GISMO Therapeutics, Inc. | Biosciences | \$150,000 |
| 1/1/14 | Bluegrass Advanced Materi- als, LLC | Biosciences | \$149,973 |
| 1/1/14 | CitiLogics, Inc. | Environmental and Energy Technologies | \$150,000 |
| 1/1/14 | nGimat, LLC | Materials Science and Advanced Manufacturing | \$500,000 |
| 1/1/14 | Care Team Solutions, LLC | Human Health and Development | \$150,000 |
| 1/1/14 | Advanced Genomic Technologies, LLC | Human Health and Development | \$150,000 |
| 1/1/14 | eNomalies, LLC | Information Technologies and Commu- nications | \$150,000 |
| 1/1/14 | Noveratech, LLC | Human Health and Development | \$150,000 |
| 1/1/14 | Okeanos Technologies, Inc. | Environmental and Energy Technologies | \$146,100 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|--|--|-------------------|
| 10/1/13 | Mercury Data | Information Technology and Communications | \$146,043 |
| 10/1/13 | Care Team Solutions, LLC | Human Health and Development | \$149,307 |
| 10/1/13 | Apiq Semiconductor, LLC | Materials Science and Advanced Manufacturing | \$150,000 |
| 10/1/13 | Bauer Labs, LLC | Human Health and Development | \$150,000 |
| 10/1/13 | Multi Scale Solutions, Inc. | Biosciences | \$149,982 |
| 10/1/13 | Directed Energy, Inc. | Materials Science and Advanced Manufacturing | \$499,990 |
| 10/1/13 | Entrepreneurial Innovation | Information Technology and Communications | \$150,000 |
| 10/1/13 | Twin Star TDS | Biosciences | \$500,000 |
| 10/1/13 | Tier1 Performance Solutions | Information Technology and Communications | \$499,972 |
| 10/1/13 | Cytoinformatics, LLC | Information Technology and Communications | \$150,000 |
| 10/1/13 | Nicolalde R&D LLC | Human Health and Development | \$142,603 |
| 10/1/13 | Orthopeutics, LP | Biosciences | \$150,000 |
| 10/1/13 | Science Tomorrow, LLC | Materials Science and Advanced Manufacturing | \$149,191 |
| 7/1/13 | Naprogenix, Inc. | Biosciences | \$499,684 |
| 7/1/13 | Alkymos, Inc. | Human Health and Development | \$213,542 |
| 7/1/13 | Signal Solutions, LLC | Biosciences | \$150,000 |
| 7/1/13 | NOHMs Technologies, Inc. | Environmental and Energy Technologies | \$325,000 |
| 4/1/13 | Advanced Energy Materials | Materials Science and Advanced Manufacturing | \$150,000 |
| 4/1/13 | Vindico NanoBioTechnology, Inc. | Biosciences | \$150,000 |
| 1/1/13 | New Global Systems for Intelligent Transportation Management | Information Technology and Communications | \$500,000 |
| 1/1/13 | Hitron Technologies, Inc. | Materials Science and Advanced Manufacturing | \$250,000 |
| 1/1/13 | SCR, Inc. | Human Health and Development | \$500,000 |
| 1/1/13 | CoPlex Therapeutics | Biosciences | \$99,791 |
| 1/1/13 | NuForm Materials, LLC | Human Health and Development | \$149,998 |
| 1/1/13 | 3P Biotechnologies, Inc. | Human Health and Development | \$150,000 |
| 1/1/13 | Four Tigers, LLC | Human Health and Development | \$317,040 |
| 1/1/13 | Advanced Cancer Therapeutics | Human Health and Development | \$150,000 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| 10/1/12 | EndoProtech, Inc. | Human Health and Development | \$150,000 |
| 10/1/12 | Biomedical Development Corporation | Human Health and Development | \$299,915 |
| 10/1/12 | Biomedical Development Corporation | Human Health and Development | \$150,000 |
| 10/1/12 | Minerva Systems & Technologies, LLC | Information Technology and Communications | \$148,260 |
| 10/1/12 | MosquitoMate, Inc. | Biosciences | \$150,000 |
| 10/1/12 | AllTranz, Inc. | Biosciences | \$150,000 |
| 10/1/12 | Rigid Solutions, LLC | Materials Science and Advanced Manufacturing | \$149,999 |
| 10/1/12 | Okeanos Technologies, Inc. | Environmental and Energy Technologies | \$79,264 |
| 7/1/12 | Noveratech | Human Health and Development | \$150,000 |
| 7/1/12 | Regenerex LLC | Biosciences | \$150,000 |
| 7/1/12 | Vindico NanoBio Technology Inc. | Biosciences | \$150,000 |
| 7/1/12 | Brockman Hastings LLC | Human Health and Development | \$150,000 |
| 7/1/12 | Innovative Energy Solutions | Environmental and Energy Technologies | \$252,810 |
| 7/1/12 | Invenio Therapeutics | Biosciences | \$150,000 |
| 7/1/12 | InfoBeyond LLC | Information Technology and Communications | \$256,783 |
| 7/1/12 | TeleHealth Holdings LLC | Human Health and Development | \$100,000 |
| 7/1/12 | TeleHealth Holdings LLC | Human Health and Development | \$150,000 |
| 4/1/12 | Minerva Systems and Tech- nologies LLC | Information Technology and Communications | \$337,018 |
| 4/1/12 | Wilson's Cedar Point Farms | Biosciences | \$99,961 |
| 4/1/12 | Sequela LLC | Human Health and Development | \$150,000 |
| 4/1/12 | Alcomed Inc. | Biosciences | \$500,000 |
| 1/1/12 | Amelgo LLC | Biosciences | \$100,000 |
| 1/1/12 | Regenerex LLC | Biosciences | \$500,000 |
| 1/1/12 | AMT Nano | Materials Science and Advanced Manufacturing | \$90,000 |
| 1/1/12 | customKYnetics Inc. | Human Health and Development | \$494,458 |
| 1/1/12 | Transposagen Biopharmaceuticals Inc. | Human Health and Development | \$500,000 |
| 1/1/12 | Invenio Therapeutics | Human Health and Development | \$434,480 |
| 1/1/12 | nGimat | Materials Science and Advanced Manufacturing | \$374,996 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| | | | |
| 1/1/12 | Tier1 Performance Solutions Inc. | Information Technology and Communications | \$396,000 |
| 1/1/12 | Bexion Pharmaceuticals Inc. | Biosciences | \$500,000 |
| 10/1/11 | ParaTechs Corporation | Human Health and Development | \$459,478 |
| 10/1/11 | AllTranz Inc. | Biosciences | \$150,000 |
| 10/1/11 | W-Z BioTech LLC | Human Health and Development | \$150,000 |
| 7/1/11 | ATI, Inc. | Materials Science and Advanced Manufacturing | \$98,748 |
| 7/1/11 | 3H Company | Environmental and Energy Technologies | \$232,212 |
| 7/1/11 | Advanced Genomic Technology | Health and Human Development | \$500,000 |
| 4/1/11 | nGimat | Materials Science and Advanced Manufacturing | \$500,000 |
| 4/1/11 | Orthopeutics | Health and Human Development | \$359,400 |
| 4/1/11 | NaugaNeedles | Materials Science and Advanced Manufacturing | \$375,758 |
| 2/1/11 | Alkymos | Biosciences | \$500,000 |
| 2/1/11 | Advanced Energy Materials | Environmental and Energy Technologies | \$150,000 |
| 2/1/11 | Alcomed | Health and Human Development | \$150,000 |
| 2/1/11 | Scout Diagnostics | Biosciences | \$435,600 |
| 2/1/11 | Global Quality Corp. | Information Technology and Communications | \$364,990 |
| 2/1/11 | Louisville Biosciences | Biosciences | \$249,913 |
| 2/1/11 | Bexion Pharmaceuticals | Health and Human Development | \$500,000 |
| 11/1/10 | Transposagen Biopharmaceuticals | Biosciences | \$499,936 |
| 11/1/10 | SureGene | Health and Human Development | \$365,579 |
| 11/1/10 | Exatherm | Health and Human Development | \$500,000 |
| 11/1/10 | ParaTechs Corp. | Health and Human Development | \$111,002 |
| 11/1/10 | customKYnetics | Health and Human Development | \$147,783 |
| 11/1/10 | NuForm Materials | Materials Science and Advanced Manufacturing | \$149,996 |
| 11/1/10 | InfoBeyond Technology | Information Technology and Communications | \$99,000 |
| 7/1/10 | Advanced Dynamics | Information Technology and Communications | \$364,999 |
| 7/1/10 | Vindico NanoBio Technologies | Health and Human Development | \$100,000 |

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| 7/1/10 | Advanced Genomic Technologies | Biosciences | \$100,000 |
| 7/1/10 | Talon Technologies | Materials Science and Advanced Manufacturing | \$99,996 |
| 7/1/10 | 3H Company | Environmental and Energy Technologies | \$267,786 |
| 7/1/10 | Orthopeutics | Biosciences | \$408,000 |
| 7/1/10 | nGimat | Materials Science and Advanced Manufacturing | \$500,000 |
| 3/1/10 | NaugaNeedles | Materials Science and Advanced Manufacturing | \$100,000 |
| 3/1/10 | Atkins and Pearce | Environmental and Energy Technologies | \$99,983 |
| 3/1/10 | Oraceuticals | Biosciences | \$100,000 |
| 3/1/10 | Vision Dynamics | Materials Science and Advanced Manufacturing | \$100,000 |
| 3/1/10 | TiER1 Performance Solutions | Information Technology and Communications | \$395,000 |
| 1/1/10 | Topasol | Materials Science and Advanced Manufacturing | \$251,000 |
| 1/1/10 | Pharmacogenetics Diagnostic Laboratory | Biosciences | \$375,000 |
| 1/1/10 | NuForm Materials | Materials Science and Manufacturing | \$314,000 |
| 1/1/10 | SCR | Health and Human Development | \$430,000 |
| 1/1/10 | Apolmmune | Biosciences | \$430,000 |
| 1/1/10 | Four Tigers | Human Health and Development | \$100,000 |
| 1/1/10 | 3H Company | Environmental and Energy Technologies | \$99,989 |
| 1/1/10 | Transposagen Biopharmaceuticals | Human Health and Development | \$100,000 |
| 1/1/10 | NaugaNeedles | Materials Science and Manufacturing | \$100,000 |
| 10/1/09 | SureGene | Human Health and Development | \$361,939 |
| 10/1/09 | EndoProtech | Human Health and Development | \$270,000 |
| 10/1/09 | Vision Dynamics | Materials Science and Manufacturing | \$250,000 |
| 10/1/09 | Louisville Biosciences | Biosciences | \$99,801 |
| 10/1/09 | Adaptive Intelligent Systems | Materials and Advanced Manufacturing | \$449,966 |
| 6/1/09 | Advanced Dynamics | Information Technology and Communications | \$99,917 |
| 5/1/09 | ParaTechs | Human Health and Development | \$100,000 |
| 4/1/09 | Transposagen Biopharmaceuticals | Biosciences | \$100,000 |
| 4/1/09 | NeoCytex Biopharmaceuticals | Biosciences | \$100,000 |

Appendix A: SBIR-STTR Matching Funds Recipients (Rounds 1-40)

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|--|-------------------|
| 3/1/09 | TiER1 Performance Solutions | Information Technology and Communications | \$99,982 |
| 3/1/09 | Synergetic Technologies | Materials Science and Advanced Manufacturing | \$99,666 |
| 2/1/09 | ATI | Materials Science and Advanced Manufacturing | \$99,838 |
| 2/1/09 | 3H Company | Environmental and Energy Technologies | \$99,998 |
| 1/1/09 | Bexion Pharmaceuticals | Biosciences | \$99,965 |
| 11/1/08 | Advanced Intelligent Systems | Materials Science and Advanced Manufacturing | \$100,000 |
| 11/1/08 | Apolmmune | Biosciences | \$100,000 |
| 10/1/08 | Apolmmune | Biosciences | \$500,000 |
| 10/1/08 | Naprogenix | Biosciences | \$199,000 |
| 10/1/08 | SCR | Human Health and Development | \$500,000 |
| 10/1/08 | Pharmacogenetics Diagnostic Laboratory | Biosciences | \$500,000 |
| 9/1/08 | Adaptive Intelligent Systems | Materials Science and Advanced Manufacturing | \$599,678 |
| 9/1/08 | customKYnetics | Human Health and Development | \$741,809 |
| 9/1/08 | Mersive Technologies (D) | Information Technology and Communications | \$499,564 |
| 9/1/08 | Turbo Wheelchairs Company | Human Health and Development | \$741,924 |
| 7/1/08 | Allylix | Biosciences | \$100,000 |
| 7/1/08 | NeoCytex Biopharmaceuticals | Biosciences | \$100,000 |
| 7/1/08 | Sequela | Human Health and Development | \$965,273 |
| 7/1/08 | Tova Industries | Information Technology and Communications | \$80,000 |
| 6/1/08 | Alkymos | Human Health and Development | \$99,646 |
| 6/1/08 | Atkins & Pearce | Materials Science and Advanced Manufacturing | \$88,900 |
| 6/1/08 | Stallion Therapeutics | Human Health and Development | \$100,000 |
| 4/1/08 | customKYnetics | Human Health and Development | \$100,000 |
| 4/1/08 | Direct i2i | Information Technology and Communications | \$100,000 |
| 4/1/08 | Peptides International | Biosciences | \$100,000 |
| 4/1/08 | Adaptive Intelligent Systems | Materials Science and Advanced Manufacturing | \$100,000 |
| 3/1/08 | Novera | Biosciences | \$846,500 |
| 3/1/08 | Topasol | Materials Science and Advanced Manufacturing | \$99,100 |

Appendix A: SBIR-STTR Matching Funds Recipients (Rounds 1-40)

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant |
|------------|---|---|-------------------|
| 3/1/08 | NuForm Materials | Materials Science and Advanced Manufacturing | \$98,602 |
| 2/1/08 | Vision Dynamics | Materials Science and Advanced Manufacturing | \$99,589 |
| 1/1/08 | Assenti | Biosciences | \$374,985 |
| 1/1/08 | Transposagen Biopharmaceuticals | Biosciences | \$1,000,000 |
| 1/1/08 | Tribo Flow Separations | Biosciences | \$100,000 |
| 1/1/08 | Advanced Dynamics | Information Technology and Communications | \$599,990 |
| 12/1/07 | Alltranz | Human Health and Development | \$100,000 |
| 11/1/07 | Pharmacogenetics Diagnostic Laboratory | Biosciences | \$100,000 |
| 11/1/07 | Advanced Dynamics | Information Technology and Communications | \$99,999 |
| 10/1/07 | SCR | Health and Human Development | \$100,000 |
| 10/1/07 | Naprogenix | Biosciences | \$498,460 |
| 10/1/07 | Naprogenix | Biosciences | \$100,000 |
| 10/1/07 | ParaTechs | Biosciences | \$743,666 |
| 10/1/07 | Apolmmune | Biosciences | \$100,000 |
| 7/1/07 | Hosting.com (D) | Information Technology and Communications | \$100,000 |
| 7/1/07 | Sequela | Health and Human Development | \$100,000 |
| 7/1/07 | Scout Diagnostics | Health and Human Development | \$99,843 |
| 6/1/07 | Wilson's Cedar Point Farms | Biosciences | \$79,729 |
| 5/1/07 | Advanced Dynamics | Information Technology and Communications | \$99,900 |
| 4/1/07 | Regenerex | Human Health and Development | \$100,000 |
| 4/1/07 | Topasol | Materials Science and Advanced Manufacturing | \$78,000 |
| 4/1/07 | Neathery Technologies | Environmental and Energy technologies | \$70,000 |
| 3/1/07 | Oraceuticals | Health and Human Development | \$100,000 |
| 3/1/07 | Naprogenix | Health and Human Development | \$100,000 |
| 3/1/07 | Lumenware | Information Technology and Communications | \$100,000 |
| 3/1/07 | Adaptive Intelligent Systems | Materials Science and Advanced Manufacturing | \$69,999 |
| 3/1/07 | ParaTechs | Biosciences | \$80,000 |
| 3/1/07 | Potentia Pharmaceuticals | Health and Human Development/Bio- sciences | \$100,000 |

Appendix A: SBIR-STTR Matching Funds Recipients (Rounds 1-40)

| Award Year | Company (in reverse chronological order) | Technology Area | Matching Grant | |
|--------------------------------------|---|---|-------------------|--|
| 3/1/07 | SureGene | Health and Human Development | \$100,000 | |
| 3/1/07 | ECM Biosciences | Biosciences | \$100,000 | |
| 3/1/07 | Apolmmune | Biosciences | \$100,000 | |
| 3/1/07 | Mersive Technologies (D) | Information Technology and Communications | \$98,222 | |
| 3/1/07 | SCR | Human Health and Development | \$100,000 | |
| 3/1/07 | customKYnetics | Human Health and Development | \$100,000 | |
| Total for 310 State Matching Grants: | | \$71,870,233 | | |

Appendix B: KEF Portfolio Information KEF Investments in FY23

| Company | Location | Focus Area | Cash Investment |
|---------------------------|-----------|---------------------------------------|-----------------|
| Affinna | Jefferson | Technology/Software | \$100,000.00 |
| AuthoFi | McCracken | Technology/Software | \$100,000.00 |
| C2 Keep | Jefferson | Technology/Software | \$50,000.00 |
| Connect Digital | Jefferson | Technology/Software | \$50,000.00 |
| Cornbread Hemp | Jefferson | Material/Manufacturing | \$250,000.00 |
| DesiCorp | Jefferson | Biotech/Life Sciences/Health- care | \$250,000.00 |
| Dues24 | Jefferson | Technology/Software | \$50,000.00 |
| Elixir Kombucha | Jefferson | Material/Manufacturing | \$100,000.00 |
| RSET | Jefferson | Technology/Software | \$100,000.00 |
| Enviro-Fresh | McCracken | Material/Manufacturing | \$50,000.00 |
| Ewagers | McCracken | Technology/Software | \$100,000.00 |
| Expandigo | Jefferson | Technology/Software | \$25,000.00 |
| Fair Chance Works | Laurel | Technology/Software | \$50,000.00 |
| Feedcoyote | McCracken | Technology/Software | \$105,000.00 |
| Gamerabble | Jefferson | Technology/Software | \$100,000.00 |
| Hop Flyt | McCracken | Material/Manufacturing | \$500,000.00 |
| Hummingbird Nano (AMT) | Jessamine | Material/Manufacturing | \$145,000.00 |
| Jobe Products | Jefferson | Material/Manufacturing | \$90,000.00 |
| Limitless | Jefferson | Technology/Software | \$10,000.00 |
| MiniGrip (Reaction Items) | Fayette | Material/Manufacturing | \$5,000.00 |
| Narratize | Kenton | Technology/Software | \$150,000.00 |

| Nichefire | Kenton | Technology/Software | \$150,000.00 |
|------------------------------|-----------|---------------------------------------|--------------|
| NonBinary Solutions Corpora- | Fayette | Technology/Software | \$50,000.00 |
| tion | <u></u> | <u></u> | |
| Pavr Solutions | Jefferson | Technology/Software | \$100,000.00 |
| PCC Hydrogen | Jefferson | Material/Manufacturing | \$40.75 |
| Pramā | Jefferson | Technology/Software | \$250,000.00 |
| Personal Medicine Plus | McCracken | Biotech/Life Sciences/Health- care | \$150,000.00 |
| PyroChem Catalyst Company | Jefferson | Material/Manufacturing | \$99,960.00 |
| Qbrics | McCracken | Technology/Software | \$25,000.00 |
| RAADZ | Jefferson | Technology/Software | \$50,000.00 |
| RedLeaf Biologics | Fayette | Material/Manufacturing | \$100,000.00 |
| Repatient | Jefferson | Technology/Software | \$125,000.00 |
| Rhiza Health | Jefferson | Technology/Software | \$50,000.00 |
| Safewave Technology | Kenton | Technology/Software | \$50,000.00 |
| Seamly Systems | McCracken | Technology/Software | \$50,000.00 |
| Smoove Creations | Campbell | Material/Manufacturing | \$50,000.00 |
| Stuccco | Jefferson | Technology/Software | \$100,000.00 |
| Symba | Jefferson | Technology/Software | \$100,000.00 |
| Experience Tech | Jefferson | Technology/Software | \$100,000.00 |
| To The Market | Fayette | Technology/Software | \$25,000.00 |
| UJoin | Calloway | Technology/Software | \$50,000.00 |
| Untitled Firm | Jefferson | Technology/Software | \$249,997.30 |
| Veeper | Jefferson | Technology/Software | \$75,000.00 |
| VRTogether | Fayette | Technology/Software | \$65,000.00 |
| Wicked Sheets | Jefferson | Biotech/Life Sciences/Health- care | \$50,000.00 |
| Working Title Labs | Jefferson | Technology/Software | \$50,000.00 |
| Xena Intelligence | Jefferson | Technology/Software | \$155,000.00 |
| Xiled Inc. | Pike | Technology/Software | \$50,000.00 |
| XpressRun | Jefferson | Technology/Software | \$175,000.00 |
| Yornest | Jefferson | Technology/Software | \$100,000.00 |

Appendix B: KEF Portfolio Information KEF Investments in FY23

KEF Historical Investment Portfolio

| # | Company | Status | Location | Industry | University Affliation | # of Invest- ment |
|----|-----------------------------------|-----------|----------------|---------------------------------------|--------------------------|-------------------------|
| 1 | Advanced Cancer Therapeutics | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 4 |
| 2 | Affinna | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 3 | Agri-Grow Technologies | Exited | Fayette | Environmental/Energy | UK | 1 |
| 4 | Allylix (Evolva) | Exited | Fayette | Biotech/Life Sciences/Health- care | UK | 3 |
| 5 | ALT Distilling | Monitored | Nelson | Material/Manufacturing | n/a | 1 |
| 6 | Apellis Pharmaceuticals | Exited | Oldham | Biotech/Life Sciences/Health- care | UL | 5 |
| 7 | Apoimmune (ApoVax) | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 5 |
| 8 | Aptamera | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 9 | Athenic Systems | Exited | Fayette | Technology/Software | UK | 1 |
| 10 | AuthoFi | Monitored | McCrack- en | Technology/Software | n/a | 1 |
| 11 | Baltech (Harris Technology) | Monitored | Boyd | Material/Manufacturing | n/a | 2 |
| 12 | BehaVR | Monitored | Hardin | Biotech/Life Sciences/Health- care | n/a | 2 |
| 13 | BellaNovus Development Company | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 14 | Bexion Pharmaceuticals Inc | Monitored | Boone | Biotech/Life Sciences/Health- care | n/a | 3 |
| 15 | Blue Sky Case Management | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 16 | BrainSTEM | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 17 | Buswell Energy | Exited | Madison | Environmental/Energy | UK | 3 |
| 18 | C2 Keep | Monitored | Jefferson | Technology/Software | n/a | 3 |
| 19 | Ceptaris Therapeutics (Yaupon) | Exited | Fayette | Biotech/Life Sciences/Health- care | UK | 1 |
| 20 | ChipRx | Exited | Fayette | Biotech/Life Sciences/Health- care | UK | 2 |
| 21 | Cloverleaf | Monitored | Campbell | Technology/Software | NKU | 2 |
| 22 | Cobra Stylet | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |
| 23 | Cognision | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |
| 24 | Collabra | Exited | Jefferson | Technology/Software | n/a | 1 |
| 25 | Conexxus | Exited | Jefferson | Technology/Software | n/a | 2 |
| 26 | Connect It All (Connect Digital) | Monitored | Jefferson | Technology/Software | n/a | 1 |

| # | Company | Status | Location | Industry | University Affliation | # of Invest- ment |
|----|---|-----------|----------------|---------------------------------------|--------------------------|-------------------------|
| 27 | Construction Industry Resources | Monitored | Fayette | Technology/Software | n/a | 1 |
| 28 | Control my ADHD | Exited | | Biotech/Life Sciences/Health- care | UK | 1 |
| 29 | Cornbread Hemp | Monitored | Jefferson | Material/Manufacturing | n/a | 1 |
| 30 | Countit | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 31 | CredentialedCare | Exited | Jefferson | Biotech/Life Sciences/Health- care | n/a | 2 |
| 32 | Credit Fair-E | Exited | Jefferson | Technology/Software | n/a | 1 |
| 33 | CrownMob | Exited | Kenton | Technology/Software | n/a | 1 |
| 34 | CTTS (Community Treatment & Tracking Solutions) | Monitored | Whitley | Technology/Software | n/a | 1 |
| 35 | Cuddle Clones | Exited | Jefferson | Material/Manufacturing | n/a | 1 |
| 36 | Curio Learning | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 37 | dbaDIRECT | Exited | Boone | Technology/Software | n/a | 2 |
| 38 | DesiCorp | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 3 |
| 39 | Dito Solutions | Exited | Boone | Technology/Software | n/a | 2 |
| 40 | Dues24 | Monitored | Jefferson | Technology/Software | Bellarmine | 1 |
| 41 | Earthineer | Exited | Gallatin | Technology/Software | NKU | 6 |
| 42 | EdjSports | Exited | Jefferson | Technology/Software | ŲL | 1 |
| 43 | Elektra | Exited | Hardin | Biotech/Life Sciences/Health- care | UL | 1 |
| 44 | Elixir Kombucha | Monitored | Jefferson | Material/Manufacturing | n/a | 1 |
| 45 | EndoProtech | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 46 | Enomalies (RSET) | Monitored | Jefferson | Technology/Software | UK | 1 |
| 47 | Enviro-Fresh (MCS Invest- ments) | Monitored | McCrack- en | Material/Manufacturing | n/a | 2 |
| 48 | Ewagers (E-Ventures) | Monitored | McCrack- en | Technology/Software | n/a | 1 |
| 49 | Expandigo | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 50 | EZ Chow | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 51 | EZ Turn (EZ Holdings) | Monitored | Fayette | Technology/Software | UK | 1 |
| 52 | Fair Chance Works (Inquiry Technologies) | Monitored | Laurel | Technology/Software | n/a | 2 |
| 53 | FanGo Software Systems | Exited | Fayette | Technology/Software | UK | 2 |
| 54 | Feedcoyote | Monitored | McCrack- en | Technology/Software | n/a | 3 |
| 55 | Feet to the Fire Writers' Workshops | Monitored | Jefferson | Biotech/Life Sciences/Health- care | n/a | 1 |
| 56 | Float Money | Exited | Fayette | Technology/Software | n/a | 4 |
| 57 | FlyWire (WearWare) | Monitored | Fayette | Environmental/Energy | UK | 2 |
| 58 | FreshFry | Monitored | Jefferson | Material/Manufacturing | ŲL | 5 |

| # | Company | Status | Location | Industry | University Affliation | # of Invest- ment |
|----|---|-----------|----------------|---------------------------------------|--------------------------|-------------------------|
| 59 | Gamerabble | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 60 | Global Shelter Systems (HES- CO) | Exited | Campbell | Material/Manufacturing | UK | 3 |
| 61 | Gnarus Systems | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 62 | GoodMaps | Monitored | Jefferson | Technology/Software | n/a | 2 |
| 63 | GoWild | Monitored | Oldham | Technology/Software | n/a | 3 |
| 64 | Griffin Residuals | Exited | Ohio | Environmental/Energy | WKU | 3 |
| 65 | Gryphon Environmental | Monitored | Ohio | Material/Manufacturing | WKU | 3 |
| 66 | Gun Media | Monitored | Fayette | Technology/Software | n/a | 5 |
| 67 | HANDLE | Monitored | Oldham | Biotech/Life Sciences/Health- care | n/a | 5 |
| 68 | High Tide Technologies | Exited | Warren | Technology/Software | WKU | 1 |
| 69 | Hijro Network (Fluent) | Exited | Fayette | Technology/Software | n/a | 1 |
| 70 | Hippo Manager | Exited | Fayette | Technology/Software | n/a | 4 |
| 71 | Hollison Technologies | Monitored | Daviess | Material/Manufacturing | n/a | 2 |
| 72 | Hometeam Digital | Exited | Hardin | Technology/Software | n/a | 1 |
| 73 | Hop Flyt | Monitored | McCrack- en | Material/Manufacturing | n/a | 1 |
| 74 | Humblebrag | Monitored | Fayette | Technology/Software | n/a | 1 |
| 75 | HuMed Technologies | Monitored | Fayette | Biotech/Life Sciences/Health- care | UK | 1 |
| 76 | Hummingbird Nano (AMT) | Monitored | Jessa- mine | Material/Manufacturing | UK | 4 |
| 77 | IF Technologies | Exited | Fayette | Technology/Software | n/a | 7 |
| 78 | ImmunoTheragnostics | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |
| 79 | InnoRem | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 80 | Innovative Therapeutix (Lulla- feed) | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL. | 3 |
| 81 | INOR | Exited | Fayette | Biotech/Life Sciences/Health- care | n/a | 1 |
| 82 | Intellirod Spine (OrthoData) | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |
| 83 | Intrepid Bioinformatics Solutions | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 84 | iPillBox | Exited | Jefferson | Biotech/Life Sciences/Health- care | n/a | 1 |
| 85 | Jacobs Automation | Exited | Boone | Material/Manufacturing | n/a | 5 |
| 86 | Jobe Products | Monitored | Jefferson | Material/Manufacturing | UL | 1 |
| 87 | Kentucky Wool Society | Exited | Bourbon | Material/Manufacturing | UK | 2 |
| 88 | LDG Sleep Technologies | Exited | Jefferson | Biotech/Life Sciences/Health- care | UK | 1 |
| 89 | Legal Gantt (Ascending Solutions) | Exited | Jefferson | Technology/Software | NKU | 4 |

| # | Company | Status | Location | Industry | University Affliation | # of Invest- ment |
|-----|---|-----------|----------------|---------------------------------------|--------------------------|-------------------------|
| 90 | LevTech | Exited | Fayette | Material/Manufacturing | UK | 1 |
| 91 | Liberate Medical | Monitored | Oldham | Biotech/Life Sciences/Health- care | UL | 2 |
| 92 | Lift3D | Exited | Jefferson | Biotech/Life Sciences/Health- care | n/a | 1 |
| 93 | Limitless (Special Delivery Courier) | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 94 | LocalBride | Exited | Fayette | Technology/Software | UK | 1 |
| 95 | Lok-N-Blok (Plastiblok) | Monitored | McCrack- en | Material/Manufacturing | n/a | 1 |
| 96 | Lorinth's Lab | Exited | Jefferson | Technology/Software | UK | 1 |
| 97 | LPD | Exited | Kenton | Material/Manufacturing | NKU | 1 |
| 98 | Lumenari (EIE Materials) | Exited | Fayette | Material/Manufacturing | n/a | 1 |
| 99 | MailHaven | Exited | Jefferson | Material/Manufacturing | n/a | 1 |
| 100 | Medbiomarkers | Exited | Jefferson | Biotech/Life Sciences/Health- care | n/a | 1 |
| 101 | Melanated Healthcare | Monitored | Jefferson | Technology/Software | UL | 1 |
| 102 | Mersive Technologies | Exited | Fayette | Technology/Software | UK | 2 |
| 103 | Metromojo | Exited | Jefferson | Technology/Software | n/a | 3 |
| 104 | MiniGrip (Reaction Items) | Monitored | Fayette | Material/Manufacturing | n/a | 1 |
| 105 | MobileServe | Monitored | Jefferson | Technology/Software | UL | 2 |
| 106 | Modica (Mindful Beverage Group) | Monitored | Jefferson | Material/Manufacturing | n/a | 2 |
| 107 | Moolathon | Monitored | Oldham | Technology/Software | n/a | 2 |
| 108 | MPI (Murty Pharmaceuticals) | Exited | Fayette | Biotech/Life Sciences/Health- care | Murray St | 1 |
| 109 | Naprogenix | Monitored | Fayette | Biotech/Life Sciences/Health- care | UK | 2 |
| 110 | Narratize | Monitored | Kenton | Technology/Software | n/a | 1 |
| 111 | NASCEND | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 112 | Nebecor | Exited | Powell | Material/Manufacturing | UK | 2 |
| 113 | Net Quest Services | Exited | Jefferson | Biotech/Life Sciences/Health- care | UK | 1 |
| 114 | Neuro Atlas | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 115 | Nexosome Oncology | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 116 | Nichefire | Monitored | Kenton | Technology/Software | n/a | 2 |
| 117 | Nomad Innovations | Exited | Jefferson | Technology/Software | n/a | 2 |
| 118 | NonBinary Solutions Corporation | Monitored | Fayette | Technology/Software | UK | 1 |
| 119 | Novobrace (Equinext) | Exited | Fayette | Biotech/Life Sciences/Health- care | UK | 2 |
| 120 | NX Development Corp | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |

| # | Company | Status | Location | Industry | University Affliation | # of Invest- ment |
|-----|--|-----------|----------------|---------------------------------------|--------------------------|-------------------------|
| 121 | NX PharmaGen | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 4 |
| 122 | NX Prenatal | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 4 |
| 123 | Nymbl Systems (451.Tech) | Monitored | Fayette | Biotech/Life Sciences/Health- care | n/a | 1 |
| 124 | OmniLife (Healthtech Solutions) | Monitored | Fayette | Biotech/Life Sciences/Health- care | n/a | 2 |
| 125 | On Call Central (Acumantra Solutions) | Exited | Kenton | Technology/Software | n/a | 3 |
| 126 | Onovative | Exited | Jefferson | Technology/Software | n/a | 2 |
| 127 | OrgVitals | Monitored | Jefferson | Technology/Software | UL | 2 |
| 128 | Parasight Systems (MEP Equine Solutions) | Monitored | Fayette | Technology/Software | UK | 2 |
| 129 | ParaTechs | Exited | Fayette | Biotech/Life Sciences/Health- care | UK | 1 |
| 130 | ParkVu Holdings | Exited | Jefferson | Technology/Software | n/a | 2 |
| 131 | Pavr Solutions | Monitored | Laurel | Technology/Software | n/a | 3 |
| 132 | PCC Hydrogen | Monitored | Jefferson | Material/Manufacturing | n/a | 1 |
| 133 | PeopleStrategy | Exited | Boone | Technology/Software | WKU | 2 |
| 134 | Podchaser | Exited | Jefferson | Technology/Software | n/a | 2 |
| 135 | Potentia Pharmaceuticals | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |
| 136 | PowerTech Water (Electramet) | Monitored | Fayette | Environmental/Energy | UK | 5 |
| 137 | Pradama | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 3 |
| 138 | Pramā | Monitored | Jefferson | Technology/Software | n/a | 2 |
| 139 | PreventScripts (Personal Medicine Plus) | Monitored | McCrack- en | Biotech/Life Sciences/Health- care | n/a | 6 |
| 140 | PyroChem Catalyst Company | Monitored | Jefferson | Material/Manufacturing | n/a | 3 |
| 141 | Qbrics | Monitored | McCrack- en | Technology/Software | n/a | 1 |
| 142 | Quantum Leap | Exited | Jefferson | Technology/Software | n/a | 1 |
| 143 | RAADZ | Monitored | Jefferson | Technology/Software | WKU | 4 |
| 144 | RaveOn Sports | Monitored | Fayette | Technology/Software | n/a | 1 |
| 145 | RDT2M | Exited | Franklin | Technology/Software | n/a | 1 |
| 146 | RedLeaf Biologics | Monitored | Fayette | Material/Manufacturing | UK | 1 |
| 147 | Repatient | Monitored | Jefferson | Technology/Software | n/a | 3 |
| 148 | Resonant Vibes | Exited | Jefferson | Technology/Software | n/a | 2 |
| 149 | Resound | Monitored | Jefferson | Technology/Software | n/a | 2 |
| 150 | Revio | Exited | Jefferson | Material/Manufacturing | UL | 1 |
| 151 | RhinoCyte | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |
| 152 | Rhiza Health | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 153 | Rise Design | Exited | Jefferson | Material/Manufacturing | UL | 1 |

| # | Company | Status | Location | Industry | University Affliation | # of Invest- ment |
|-----|--|-----------|----------------|---------------------------------------|--------------------------|-------------------------|
| 154 | Safewave Technology | Monitored | Kenton | Technology/Software | n/a | 2 |
| 155 | Schedule It | Exited | Hardin | Technology/Software | n/a | 4 |
| 156 | Seamly Systems | Monitored | McCrack- en | Technology/Software | n/a | 2 |
| 157 | Seikowave | Monitored | Fayette | Technology/Software | UK | 4 |
| 158 | Seikowave Dental | Monitored | Fayette | Biotech/Life Sciences/Health- care | UK | 2 |
| 159 | SentryHealth (SHI Legacy Company, Inc) | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 6 |
| 160 | ShareLab | Exited | Jefferson | Technology/Software | UL | 1 |
| 161 | Simba USA | Exited | Rowan | Material/Manufacturing | n/a | 2 |
| 162 | SinglePipe Communications | Exited | Jefferson | Technology/Software | UL | 3 |
| 163 | Sisters of Invention | Exited | Woodford | Material/Manufacturing | n/a | 2 |
| 164 | Smart Farm Systems | Exited | Jessa- mine | Technology/Software | n/a | 3 |
| 165 | Smoove Creations | Monitored | Campbell | Material/Manufacturing | NKU | 2 |
| 166 | Starborn Healthcare Solutions | Exited | Fayette | Biotech/Life Sciences/Health- care | n/a | 1 |
| 167 | Stuccco | Monitored | Jefferson | Technology/Software | n/a | 2 |
| 168 | Summit Biosciences | Monitored | Fayette | Biotech/Life Sciences/Health- care | UK | 1 |
| 169 | SuperFan | Exited | Jefferson | Technology/Software | UL | 2 |
| 170 | Support Nerds, LLC | Exited | Warren | Technology/Software | n/a | 1 |
| 171 | SureGene | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 2 |
| 172 | Switcher Studio | Monitored | Jefferson | Technology/Software | Bellarmine | 2 |
| 173 | Sword Performance (Sport Geeks) | Monitored | Knox | Material/Manufacturing | UK | 1 |
| 174 | Symba | Monitored | Jefferson | Technology/Software | n/a | 3 |
| 175 | Synaptek | Monitored | Fayette | Biotech/Life Sciences/Health- care | UK | 2 |
| 176 | Talaris Therapeutics (Regenerex) | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 177 | Tally Rally | Exited | Jefferson | Technology/Software | Bellarmine | 1 |
| 178 | TapLogic | Monitored | Calloway | Technology/Software | Murray St | 2 |
| 179 | The Corporate Playbook | Exited | Jefferson | Technology/Software | n/a | 1 |
| 180 | The Kentucky Hug (Experience Tech) | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 181 | The Vniversity (Futur-E-Scape) | Exited | Kenton | Technology/Software | n/a | 2 |
| 182 | Thortek (Irvine Technologies) | Exited | Estill | Material/Manufacturing | n/a | 1 |
| 183 | To The Market | Monitored | Fayette | Technology/Software | n/a | 1 |
| 184 | Toggle Health | Monitored | Jefferson | Biotech/Life Sciences/Health- care | n/a | 1 |
| 185 | TrackFive Diagnostics | Exited | Fayette | Biotech/Life Sciences/Health- care | UL | 1 |

| # | Company | Status | Location | Industry | University Affliation | # of Invest- ment |
|-----|---------------------------------------|-----------|-----------|---------------------------------------|--------------------------|-------------------------|
| 186 | Ucloser | Exited | Jefferson | Technology/Software | n/a | 2 |
| 187 | UJoin | Monitored | Calloway | Technology/Software | n/a | 1 |
| 188 | Untitled Firm | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 189 | US Worldmeds | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UK | 3 |
| 190 | Vaquera Group (Further Media/11Picas) | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 191 | Veeper | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 192 | Victory Hemp Foods | Monitored | Carroll | Material/Manufacturing | UK | 4 |
| 193 | Virtual Peaker | Monitored | Jefferson | Environmental/Energy | n/a | 1 |
| 194 | VitaTech | Exited | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 195 | Vivorte | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 6 |
| 196 | VoicePad (Standard Voice Networks) | Exited | Jefferson | Technology/Software | UL | 1 |
| 197 | VRTogether (RalphVR) | Monitored | Fayette | Technology/Software | UK | 1 |
| 198 | VSimple | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 199 | WeatherCheck Inc | Exited | Jefferson | Technology/Software | n/a | 1 |
| 200 | WeStyle (FittingRoom) | Exited | Fayette | Technology/Software | UK | 1 |
| 201 | Wicked Sheets | Monitored | Jefferson | Biotech/Life Sciences/Health- care | UL | 1 |
| 202 | Working Title Labs | Monitored | Jefferson | Technology/Software | n/a | 1 |
| 203 | Xena Intelligence | Monitored | Jefferson | Technology/Software | n/a | 4 |
| 204 | Xiled Inc. | Monitored | Pike | Technology/Software | n/a | 2 |
| 205 | Xometry (MakeTime) | Exited | Fayette | Technology/Software | n/a | 5 |
| 206 | XpressRun | Monitored | Jefferson | Technology/Software | UL | 2 |
| 207 | Yornest | Monitored | Jefferson | Technology/Software | Bellarmine | 3 |
| 208 | Zynerba Pharmaceuticals (AllTranz) | Exited | Fayette | Biotech/Life Sciences/Health- care | UK | 7 |
| 209 | ZYUS (Revon Systems) | Monitored | Oldham | Biotech/Life Sciences/Health- care | UL | 2 |

Appendix C: CSC Portfolio Information through Calendar Year 2022

2022 Company and Fund Investments

| Company/Fund | Location | Focus Area | 2022 Cash Investment |
|--------------------------------|------------|--------------------------|----------------------|
| FreshFry | Louisville | Food Services | \$250,000 |
| Resound | Louisville | Digital Media Technology | \$250,000 |
| Victory Foods Holdings | Carollton | Hemp Food Supplement | \$250,000 |
| Connetic Fund II | Covington | All Sectors | \$200,000 |
| Untitled Holdings | Louisville | Artificial intelligence | \$200,000 |
| Vivorte | Lexington | Medical Devices | \$103,844.16 |
| Bluegrass Angel Venture Fund V | Lexington | All Sectors | \$100,000 |
| Lepidext | Lexington | BioAgriculture | \$100,000 |
| Liberate Medical | Crestwood | Healthcare | \$100,000 |
| Pyrochem Catalyst | Louisville | Advanced Manufacturing | \$97,910 |
| Powertech Water | Lexington | Biotechnology | \$0.09 |

Current Company Investment Portfolio

| Company | Location | Focus Area | Total |
|---------------------------------------|---------------|-------------------------------|------------|
| SentryHealth | Louisville | Health Information Technology | \$686,665 |
| MEP Equine Solutions | Lexington | Equine Technology | \$674,999 |
| Pyrochem Catalyst | Louisville | Advanced Manufacturing | \$642,451 |
| Vivorte | Louisville | Orthopedic Medical Devices | \$620,370 |
| NX Prenatal | Louisville | Pharmaceutical Drug Discovery | \$584,415 |
| Hera Testing Laboratories | Lexington | Biotechnology | \$564,562 |
| Seikowave | Lexington | 3D Imaging and Measurements | \$557,960 |
| Entrep | Richmond | Information Systems | \$500,000 |
| NX PharmaGen | Louisville | Pharmaceutical Drug Discovery | \$457,310 |
| Powertech Water | Lexington | Biotechnology | \$4,51,178 |
| Frogdice | Lexington | Video Game Development | \$4,23,319 |
| Hummingbird Nano | Nicholasville | Advanced Manufacturing | \$3,00,000 |
| Gryphon Environmental | Owensboro | Advanced Mfg Technology | \$277,982 |
| WearWare (FlyWire) | Lexington | Information Technology | \$260,986 |
| Champion Gaming (EdjSports) | Lexington | Information Technology | \$250,000 |
| FreshFry | Louisville | Food Services | \$250,000 |
| Healthcare Asset Network (Handle) | Prospect | Health Information Technology | \$250,000 |
| HealthTech Solutions (OmniLife) | Lexington | Healthcare | \$250,000 |
| Liberate Medical | Crestwood | Healthcare | \$250,000 |
| Resound | Louisville | Digital Media Technology | \$250,000 |
| Victory Foods Holding | Carollton | Hemp Foods | \$250,000 |
| Construction Industry Resources (CIR) | Lexington | Information & Analytics | \$218,655 |
| TNG Pharmaceuticals | Louisville | Agricultural Pharmaceuticals | \$218,655 |
| Switcher | Louisville | Information Technology | \$215,956 |
| Elite Rad Reporting (ThynkHealth) | Lexington | Healthcare | \$200,000 |

| Smart Farm Systems | Nicholasville | Agriculture Technology | \$200,000 |
|---------------------------------|---------------|-----------------------------|-----------|
| SpaceTango | Lexington | Space Technology | \$200,000 |
| Untitled Holding | Louisville | Artificial Intelligence | \$199,993 |
| Lepidext | Lexington | BioAgriculture | \$150,000 |
| Gun Media Holdings | Lexington | Video Game Development | \$127,982 |
| NeuroOp | Atlanta, GA | Biosciences | \$112,258 |
| Bluegrass Vascular Technology | Texas | Medical Devices | \$108,072 |
| Avail | Lexington | Information Technology | \$100,000 |
| Seikowave Dental | Lexington | Advanced Manufacturing | \$100,000 |
| Synaptech | Lexington | Concussion Data Management | \$70,000 |
| Summit Biosciences | Lexington | Bioscience | \$37,310 |
| CMS Holdings | Lexington | Information Technology | \$31,060 |
| KY-270 - Moolathon | Louisville | Social Fundraising Platform | \$30,000 |
| KY-270 - BrainSTEM | Louisville | EdTech Virtual Learning | \$10,000 |
| KT-270 — HuMed Technologies | Lexington | Healthcare | \$10,000 |
| KY-270 - PavR Solutions | Louisville | Information Technology | \$10,000 |
| KY-270 — Personal Medicine Plus | Paducah | Disease Management | \$10,000 |

Current Equity Fund Portfolio

| Fund Name | Location | Commitment | Funded to Date | % of CSC Total Fund Portfolio |
|------------------------------------|------------|--------------|-------------------|----------------------------------|
| Chrysalis Ventures III, LP | Louisville | \$3,000,000 | \$3,000,000 | 28.28% |
| River Cities Capital Fund III, LP | Cincinnati | \$2,000,000 | \$2,000,000 | 18.85% |
| River Cities Capital Fund IV, LP | Cincinnati | \$2,000,000 | \$1,850,000 | 17.44% |
| Bluegrass Angel Venture Fund IV | Lexington | \$500,000 | \$500,000 | 4.71% |
| CONNETIC Fund II, LLC | Covington | \$500,000 | \$500,000 | 4.71% |
| Enterprise Angels Community Fund I | Louisville | \$300,000 | \$300,000 | 4.71% |
| Yearling Fund I, LP | Louisville | \$500,000 | \$500,000 | 4.71% |
| Yearling Fund II, LP | Louisville | \$500,000 | \$500,000 | 4.71% |
| Appalachian Micro Equity Fund | London | \$500,000 | \$316,250 | 2.98% |
| Bluegrass Angel Venture Fund III | Lexington | \$300,000 | \$300,000 | 2.83% |
| CONNETIC Fund, LLC | Covington | \$300,000 | \$300,000 | 2.83% |
| Bluegrass Angel Venture Fund V | Lexington | \$500,000 | \$200,000 | 1.89% |
| Tri-State Angel Investment Fund I | Ashland | \$100,000 | \$100,000 | 0.94% |
| UpTech Fund II | Covington | \$100,000 | \$100,000 | 0.94% |
| Cherub 2.0 Fund | Covington | \$80,000 | \$80,000 | 0.75% |
| Unbridled Ventures | Louisville | \$250,000 | \$62500 | 0.59% |
| TOTAL | | \$11,430,000 | \$1,060,8750 | 100% |

Appendix D: Historical Information About HTP Recipients

| Approval Date | Company | County | Approved Incentive Amount | |
|---------------|---|-----------|------------------------------|--|
| 5/30/2019 | Kentucky Entrepreneurship Education Network, Inc. (KEENStart) | Franklin | \$265,000 | |
| 3/28/2019 | Roth River, Inc. | Jefferson | \$100,000 | |
| 3/29/2018 | Response Techs, LLC | | \$250,000 | |
| 4/27/2017 | Commonwealth Seed Capital, LLC (thru Kentucky Economic Development Partnership) | Fayette | \$15,000,000 | |
| 12/8/2016 | Awesome Center for Entrepreneurship | Fayette | \$100,000 | |
| 12/8/2016 | XlerateHealth (Non-Profit), Inc. | Jefferson | \$100,000 | |
| 10/27/2016 | Kentucky Science and Technology Corporation | Fayette | \$500,000 | |
| 7/28/2016 | Western Kentucky Universtiy Research Foundation | Warren | \$200,000 | |
| 6/30/2016 | MakeTime, Inc. | Fayette | \$500,000 | |
| 1/28/2016 | University of Kentucky Research Foundation - IACMI Project | Fayette | \$150,000 | |
| 12/10/2015 | Awesome Center for Entrepreneurship | Fayette | \$50,000 | |
| 12/10/2015 | UpTech, Inc. | Kenton | \$100,000 | |
| 8/27/2015 | XLerateHealth (Non-Profit), Inc. | Jefferson | \$50,000 | |
| 10/30/2014 | Awesome Fellowship, LLC | Fayette | \$50,000 | |
| 5/29/2014 | UpTech, LLC | Kenton | \$150,000 | |
| 3/27/2014 | University of Kentucky Research Foundation - (KY Argonne Battery Mfg. Research & | Fayette | \$500,000 | |
| 3/27/2014 | Kentucky Science and Technology Corporation - SBIR-STTR Matching Funds Program | Fayette | \$2,000,000 | |
| 3/27/2014 | Morehead-Rowan Economic Development Council - Morehead Innovation and Realizatio | Rowan | \$50,000 | |
| 4/25/2013 | UpTech, LLC | Kenton | \$25,000 | |
| 3/28/2013 | Kentucky Science and Technology Corporation - Space Tango Project | Fayette | \$100,000 | |
| 10/25/2012 | Jacobs Automation, Inc. | Boone | \$250,000 | |
| 10/25/2012 | | | \$120,000 | |
| 9/27/2012 | Greater Louisville Foundation, Inc. (EnterpriseCorp Software Accelerator - Healt | Jefferson | \$150,000 | |
| 7/26/2012 | Control Systems Design and Automation, Inc. | Warren | \$75,000 | |
| 6/28/2012 | Allylix Inc. | Fayette | \$200,000 | |
| 3/29/2012 | CirrusMio, Inc. | Fayette | \$100,000 | |
| 3/29/2012 | Western Kentucky University Research Foundation, Inc. | Warren | \$100,000 | |

| 12/8/2011 | Ferm Solutions, Inc. | Boyle | \$75,000 |
|------------|--|-----------|-------------|
| 9/29/2011 | Kentucky Highlands Investment Corporation | Laurel | \$15,000 |
| 7/28/2011 | Nucleus: Kentucky's Life Sciences and Innovation Center, LLC | Jefferson | \$3,000,000 |
| 5/26/2011 | Commonwealth Seed Capital, LLC | Franklin | \$6,000,000 |
| 4/28/2011 | Frogdice, Inc. | Fayette | \$80,000 |
| 4/28/2011 | Transposagen Biopharmaceuticals, Inc. | Fayette | \$250,000 |
| 3/31/2011 | NaugaNeedles, LLC | Jefferson | \$100,000 |
| 1/27/2011 | Pharmacogenetics Diagnostic Laboratory, LLC | Jefferson | \$200,000 |
| 1/27/2011 | Hitcents.com, Inc. | Warren | \$150,000 |
| 12/9/2010 | Vivorte, Inc. | Jefferson | \$120,000 |
| 12/9/2010 | Bexion Pharmaceuticals, LLC | Kenton | \$155,000 |
| 10/28/2010 | ZoomEssence, Inc. | Boone | \$175,000 |
| 10/28/2010 | Escent Technologies, LLC | Fayette | \$200,000 |
| 10/28/2010 | Corning Incorporated | Mercer | \$250,000 |
| 9/30/2010 | Remington Arms Company, Inc. | Hardin | \$200,000 |
| 9/30/2010 | Pure Power Technologies, LLC | Warren | \$100,000 |
| 7/29/2010 | nGimat, LLC | Fayette | \$250,000 |
| 6/30/2010 | Martek Biosciences Corporation | Clark | \$250,000 |
| 5/27/2010 | Gerard Ventures dba Ischemia Care | | \$100,000 |
| 3/25/2010 | Waterway Innovations, LLC | McCracken | \$150,000 |
| 2/25/2010 | ParaTechs Corporation | Fayette | \$120,000 |
| 1/28/2010 | Connected Patients, LLC | Fayette | \$100,000 |
| 1/28/2010 | HyGreen, Inc. fka Xhale, Inc. | Warren | \$175,000 |
| 12/10/2009 | Summit Biosciences Inc. | Fayette | \$250,000 |
| 12/10/2009 | LGC Science, Inc. | Fayette | \$425,000 |
| 12/10/2009 | Energy and Environmental Cabinet - The Kentucky Argonne National Battery Mfg. Re | Franklin | \$3,500,000 |
| 10/29/2009 | Laboratory and BioDiagnostics, LLC | Fayette | \$250,000 |
| 10/29/2009 | Louisville Bioscience, Inc. | Jefferson | \$250,000 |
| 7/30/2009 | Jacobs Automation, LLC | Campbell | \$150,000 |
| 7/30/2009 | Gryphon Environmental, LLC | Ohio | \$100,000 |
| 6/25/2009 | Emerald Laboratories, LLC | Simpson | \$500,000 |
| 5/28/2009 | PHD Diagnostics, LLC | Kenton | \$200,000 |
| 4/30/2009 | NaugaNeedles, LLC | Jefferson | \$120,000 |
| 4/30/2009 | Kentucky Highlands Investment Corporation | Laurel | \$100,000 |
| 12/11/2008 | Kentucky Science and Technolgy Corporation | Fayette | \$3,300,000 |
| 12/11/2008 | Transposagen Pharmaceuticals, Inc. | Fayette | \$325,000 |
| 12/11/2008 | MedX12, Inc. | Jefferson | \$160,000 |
| 12/11/2008 | Lending Cycle, Inc. | Jefferson | \$119,000 |
| 10/30/2008 | Vet-Stem, Inc. | Jefferson | \$550,000 |
| 8/28/2008 | Kentucky Technology, Inc. (Coldstream Research Campus) | Fayette | \$5,500,000 |
| 6/29/2008 | Advanced Cancer Therapeutics LLC | Jefferson | \$187,000 |
| 5/29/2008 | LeanCor, LLC | Boone | \$350,000 |
| 2/28/2008 | dbaDirect, Inc. | Boone | \$469,000 |

| 2/28/2008 | 3DR Laboratories, LLC | Jefferson | \$250,000 |
|------------|--|-----------|-------------|
| 1/31/2008 | Conexxus, LLC | Jefferson | \$238,000 |
| 1/31/2008 | Advanced Genomic Technology, LLC | Jefferson | \$153,000 |
| 1/31/2008 | Smoothstone IP Communications Corporation | Jefferson | \$500,000 |
| 12/13/2007 | Mersive Technologies, Inc. | Fayette | \$255,000 |
| 12/13/2007 | EAS Technologies, LLC. | Fayette | \$500,000 |
| 12/13/2007 | Corevalus Systems, LLC | Scott | \$300,000 |
| 8/30/2007 | Data Futures, Inc | Harlan | \$126,233 |
| 8/30/2007 | SureGene LLC | Jefferson | \$510,000 |
| 7/26/2007 | CreoSalus, Inc. | Jefferson | \$750,000 |
| 6/28/2007 | Knight-ED, LLC | Fayette | \$220,113 |
| 6/28/2007 | Wind Energy Corporation | Hardin | \$595,000 |
| 6/28/2007 | Northrop Grumman Systems Corporation | Warren | \$125,000 |
| 4/27/2007 | SearchDaddy LLC | Kenton | \$350,000 |
| 4/26/2007 | AllTranz, LLC | Fayette | \$500,000 |
| 4/26/2007 | ALT BioScience, LLC | Fayette | \$150,000 |
| 4/26/2007 | Celerity Automation, Inc. | Johnson | \$500,000 |
| 4/26/2007 | Center for Technology Enterprise, Inc. (DBA ConnectKentucky) | Warren | \$950,000 |
| 3/29/2007 | TapLogic LLC | Calloway | \$500,000 |
| 2/22/2007 | Peptides International, Inc. | Jefferson | \$175,000 |
| 2/22/2007 | Southeast Kentucky Econonic Development Corporation (SKED) / National Bio and Ag | Pulaski | \$80,000 |
| 12/7/2006 | Semicon Associates, a Division of Ceradyne, Inc. | Fayette | \$275,000 |
| 12/7/2006 | US WorldMeds, LLC | Jefferson | \$1,300,000 |
| 10/26/2006 | Growth Services, LLC dba Kentucky BioAlliance | Jefferson | \$300,000 |
| 9/28/2006 | Life Sciences Commercilization Program | Jefferson | \$4,700,000 |
| 6/29/2006 | MetaCyte Business Lab, LLC (06/07) | Jefferson | \$750,000 |
| 6/29/2006 | ConnectKentucky (Center for Technology Enterprise-CITE) -06/07 | Warren | \$950,000 |
| 3/30/2006 | Secat, Inc. (Equipment) | Fayette | \$850,000 |
| 3/30/2006 | Apolmmune, Inc./APOVAX Vaccine | Jefferson | \$500,000 |
| 2/23/2006 | University of Kentucky Research Foundation (Prototyping Equipment) | Fayette | \$1,436,000 |
| 2/23/2006 | Alltech, Inc. (Center for Animal Nutrigenomics) | Jessamine | \$1,000,000 |
| 2/23/2006 | Southeast Kentucky Economic Development Corp. (Nat. Bio & Agro-Defense Facility | Pulaski | \$124,900 |
| 1/26/2006 | NKU - Risk Management Technology | Campbell | \$276,973 |
| 1/26/2006 | NKU-Infrastructure Management Institute | Campbell | \$332,710 |
| 1/26/2006 | WKU - Small Business Accelerator Expansion | Warren | \$423,500 |
| 12/16/2005 | Kentucky Dataseam Initiative, Inc. | Jefferson | \$1,900,000 |
| 10/27/2005 | Cymbion, LLC | Jefferson | \$650,000 |
| 10/27/2005 | Madison Avenue Launch Team, Inc. | Kenton | \$75,000 |
| 10/27/2005 | Central Region Innovation and Commercialization Center, Inc. | Warren | \$250,000 |
| | | | |

| 9/29/2005 | 29/2005 Murray State University (Regional Center for Emerging Technology- RCET) | | \$127,603 |
|------------|--|-----------|-------------|
| 9/29/2005 | University of Kentucky Research Foundation (Closed Vial System) | Fayette | \$500,000 |
| 9/29/2005 | Kentucky Dataseam Initiative, Inc. (Coal County Computing Program) | Jefferson | \$175,000 |
| 9/29/2005 | City of Paintsville (Ky. Highlands Entrepreneur Center) | Johnson | \$75,000 |
| 8/25/2005 | University of Kentucky College of Pharmacy | Fayette | \$1,240,000 |
| 8/25/2005 | Ky.Commerce Cabinet (Office of Energy Policy, Div. of Energy Research) Clean Coa | Franklin | \$2,000,000 |
| 8/25/2005 | KY. Commerce Cabinet (Office of Energy Policy, Div. of Rearch) FutureGen | Franklin | \$950,000 |
| 8/25/2005 | KY. Commerce Cabinet (Office of Energy Policy, Div. of Energy Research) | Franklin | \$1,877,778 |
| 8/25/2005 | The Jewish Hospital Foundation (Cardiovascular Institute) | Jefferson | \$625,000 |
| 8/25/2005 | Madison Avenue Launch Team, Inc. (Madison E-Zone ICC) | Kenton | \$60,000 |
| 8/25/2005 | Eastern Kentucky University (Eastern Region ICC Head-quarters/Satellites) | Madison | \$325,000 |
| 8/25/2005 | Eastern Kentucky University (Incubator for Safety & Security) | Madison | \$51,845 |
| 8/25/2005 | Central Region Innovation and Commercialization Center, Inc. (Elizabethtown ICC) | Warren | \$146,200 |
| 7/28/2005 | Louisville Medical Center Development Corporation/ Metacyte Business Lab, LLC | Jefferson | \$750,000 |
| 5/26/2005 | Center For Information Technology Enterprise, Inc. d/b/a ConnectKentucky (CITE) | Warren | \$1,900,000 |
| 3/28/2005 | Louisville/Jefferson County Metro Government - MedVenture Technology Corporation | Jefferson | \$350,000 |
| 1/27/2005 | Sloan Center for Sustain Alum (SECAT) | Fayette | \$150,000 |
| 1/27/2005 | Northern Ky Williamstown Satellite - North Ky. | N/A | \$40,893 |
| 1/27/2005 | Central Region Satellites - Central Ky. | N/A | \$271,944 |
| 1/27/2005 | Murray State - Western Reg Satellites - West Ky. | N/A | \$293,629 |
| 1/27/2005 | EKU - Eastern Regional Satellites - East Ky. | N/A | \$646,253 |
| 1/27/2005 | Innovation and Commercial Centers (KSTC) - Statewide | N/A | \$1,343,221 |
| 1/27/2005 | BIO Conference 2005 (Statewide) | N/A | \$100,000 |
| 12/2/2004 | MetaCyte Business Lab/iTRC Ideas to Action Business Incubator | Jefferson | \$275,000 |
| 4/29/2004 | University of Kentucky/Aichi USA 2005 World Exposition | Fayette | \$125,000 |
| 10/30/2003 | Murray State University /RCET/equipment | Calloway | \$100,000 |
| 10/30/2003 | UK College of Agriculture/Kentucky Natural Products Alliance | Fayette | \$250,000 |
| 10/30/2003 | NKU/Rural Northern Kentucky Innovation Satellite Office/ Grant County | Grant | \$150,000 |
| 10/30/2003 | Madison E Zone/Invest By Being A Customer | Kenton | \$50,000 |
| 10/30/2003 | Belcan Partners/Morgan County-West Liberty IT Center | Morgan | \$800,000 |
| 10/30/2003 | CITE/Sponsored Research Database Project | Warren | \$50,000 |

| 9/25/2003 | Kentucky Natural Products Fund/Commonwealth Seed | Franklin | \$5,000,000 |
|------------|--|-----------|-------------|
| 8/28/2003 | U of L/i TRC Ideas to Action Incubator -FY0304 | Jefferson | \$150,000 |
| 7/31/2003 | Murray State University/RCET/Energy Consortium Grant Program-FY0304 | Calloway | \$1,000,000 |
| 7/31/2003 | Murray State University/RCET/operating-FY0304 | Calloway | \$150,000 |
| 7/31/2003 | NKU/Institute for New Economy Technologies(iNET) -FY0304 | Campbell | \$400,000 |
| 7/31/2003 | Owensboro Biotechnology Alliance/Industry, IncFY0304 | Daviess | \$150,000 |
| 7/31/2003 | UK/Center for Pharaceutical Science and Technology/ FY0304 | Fayette | \$1,000,000 |
| 7/31/2003 | Kentucky Technology Service/KMAC-FY0304 | Fayette | \$175,000 |
| 7/31/2003 | UK/U of L/Kentucky Cancer Experimental Therapy Program | Fayette | \$4,000,000 |
| 7/31/2003 | UK/Visualization Center/21st Century Manufacturing Inititative -FY0304 | Fayette | \$3,000,000 |
| 7/31/2003 | EKU/Public Safety & Security Institute - FY0304 | Madison | \$715,000 |
| 7/31/2003 | Central Region Coordinator/Central Region ICC -FY0304 | Warren | \$134,500 |
| 6/26/2003 | Murray State University/Workforce Development Initiative - FY0304 | Calloway | \$50,000 |
| 6/26/2003 | U of L/Cardiovascular Innovation Institute FY0304 | Jefferson | \$2,533,000 |
| 6/26/2003 | U of L/Proteomics Training Facility - FY0304 | Jefferson | \$500,000 |
| 6/26/2003 | LMCDC/Metacyte Business Lab -FY0304 | Jefferson | \$200,000 |
| 6/26/2003 | Paintsville/Johnson County Small Business Incubator (City of Paintsville) FY0304 | Johnson | \$250,000 |
| 6/26/2003 | Paintsville/Johnson County Small Business Incubator (City of Paintsville) FY0203 | Johnson | \$250,000 |
| 6/26/2003 | Northern Kentucky New Economy Marketing Plan/Tri-Ed - FY0304 | Kenton | \$250,000 |
| 6/26/2003 | Madison E Zone/operations - FY0304 | Kenton | \$100,000 |
| 6/26/2003 | Morgan County Regional Technology Center (UK) FY0304 | Morgan | \$325,000 |
| 5/26/2003 | Morgan County Regional Technology Center (UK) FY0203 | Morgan | \$110,000 |
| 6/26/2003 | Barren River Development Council FY0304 | Warren | \$100,000 |
| 6/26/2003 | CITE/eBusiness Strategy Group - FY0304 | Warren | \$200,000 |
| 6/26/2003 | CITE/connectkentucky FY0304 | Warren | \$200,000 |
| 5/29/2003 | Owensboro Biotechnology Alliance/Industry, IncFY0203 | Daviess | \$150,000 |
| 5/29/2003 | Wayne County Rural Broadband Initiative (CITE)-FY0304 | Wayne | \$150,000 |
| 1/30/2003 | Murray State University/RCET/Energy Consortium Grant Program -FY0203 | Calloway | \$1,000,000 |
| 1/30/2003 | Lexington-Fayette Urban County Government/The Factory | Fayette | \$350,000 |
| 1/30/2003 | Central Region Coordinator/Central Region ICC- FY0203 | Warren | \$150,000 |
| 1/30/2003 | Barren River Development Council FY02/03 | Warren | \$100,000 |
| 12/20/2002 | Northern Kentucky New Economy Marketing Plan/Tri-Ed -FY0203 | Kenton | \$250,000 |
| 12/20/2002 | EKU/Public Safety & Security Institute -FY0203 | Madison | \$585,000 |
| 10/31/2002 | Murray State University/Workforce Development Initiative -FY0203 | Calloway | \$50,000 |

| 10/31/2002 | Kentucky Technology Service/KMAC-FY0203 | Fayette | \$175,000 |
|------------------|--|-----------|---------------|
| 10/31/2002 | UK/Center for Pharmaceutical Science and Technology-FY0203 | Fayette | \$1,000,000 |
| 10/31/2002 | CITE/eBusiness Strategy Group -FY0203 | Warren | \$200,000 |
| 9/26/2002 | NKU/Institute for New Economy Technologies (iNET)-FY0203 | Campbell | \$400,000 |
| 9/26/2002 | CITE/connectkentucky FY0203 | Warren | \$200,000 |
| 8/29/2002 | UK/Visualization Center/21st Century Manufacturing Initiative-FY0203 | Fayette | \$2,000,000 |
| 8/29/2002 | LMCDC/Metacyte Business Lab -FY0203 | Jefferson | \$200,000 |
| 8/29/2002 | U of L/Structural Biology Program/X-ray Diffractometer | Jefferson | \$250,000 |
| 8/29/2002 | U of L/Proteomics Training Facility -FY0203 | Jefferson | \$500,000 |
| 8/29/2002 | U of L/Cardiovascular Innovation Institute FY0203 | Jefferson | \$2,467,000 |
| 8/29/2002 | U of L/iTRC Ideas to Action Incubator-FY0203 | Jefferson | \$150,000 |
| 8/29/2002 | Madison E Zone/operations - FY0203 | Kenton | \$100,000 |
| 6/27/2002 | EKU/Regional Director/Safety & Security Director | Madison | \$500,000 |
| 1/31/2002 | UK/Pharmaceutical Science & Technology Project/building | Fayette | \$4,000,000 |
| 1/31/2002 | Kentucky First/Madison Avenue Launch Team | Kenton | \$500,000 |
| 9/27/2001 | Murray State University/RCET/West Ky Energy Consortium | Calloway | \$400,000 |
| 9/27/2001 | NKU/NKU Emerging Technology Triangle Project | Campbell | \$500,000 |
| 9/27/2001 | SKEDC/Valley Oak Business & Technology Park | Pulaski | \$200,000 |
| 6/28/2001 | Commonwealth Seed Capital, LLC | Fayette | \$11,100,000 |
| 6/28/2001 | EKU/Eastern Ky. ICC -FY0203 | Madison | \$5,000,000 |
| 5/31/2001 | Murray State University/RCET/building | Calloway | \$1,500,000 |
| 5/31/2001 | KSTC/Kentucky Innovation and Commercialization Program | Fayette | \$1,300,000 |
| 5/31/2001 | Madison E-Zone ICC | Kenton | \$500,000 |
| 3/28/2001 | U of L/LMCDC/Technolgy Innovation Center | Jefferson | \$5,000,000 |
| 3/28/2001 | WKU/Integrated Engineering Applications Laboratory | Warren | \$1,000,000 |
| 3/28/2001 | WKU/Center for Research & Development | Warren | \$4,000,000 |
| Total Support Pr | ovided | | \$156,959,477 |

Appendix E: KAITC Recipients

| Entity | Investor | FI County | Final Approval Date | Projected Angel Investment | Actual Angel Investment | Final Incentive Amount |
|------------------------------|--------------------------|-----------|---------------------------|----------------------------|----------------------------|---------------------------|
| Bexion Pharmaceuticals, Inc. | Knox, David George | Kenton | 12/8/22 | \$50,000.00 | \$50,000.00 | \$12,500.00 |
| borderless LLC | Herrera, Melinda J. | Jefferson | 12/8/22 | \$40,000.00 | \$40,000.00 | \$10,000.00 |
| CleanSpace Corporation | Toutant, Roy T. | Fayette | 6/29/23 | \$20,000.00 | \$10,000.00 | \$2,500.00 |
| Cornbread CBD, PBC | Mackin, Colin N. | Jefferson | 12/8/22 | \$112,500.00 | \$112,500.00 | \$28,125.00 |
| Cornbread CBD, PBC | Mackin, Noah L. | Jefferson | 12/8/22 | \$112,500.00 | \$112,500.00 | \$28,125.00 |
| Cornbread CBD, PBC | Lenhardt, Theresa N. | Jefferson | 12/8/22 | \$112,500.00 | \$112,500.00 | \$28,125.00 |
| Cornbread CBD, PBC | Mackin III, Joseph L. | Jefferson | 12/8/22 | \$112,500.00 | \$112,500.00 | \$28,125.00 |
| EquiLottery LLC | Russell, Gary D. | Jefferson | 12/8/22 | \$200,000.00 | \$200,000.00 | \$50,000.00 |
| EquiLottery LLC | Perkins, III, Herbert W. | Jefferson | 12/8/22 | \$100,000.00 | \$100,000.00 | \$25,000.00 |

| | | | | _ | | |
|-----------------------------|--------------------------------|----------------|---------|--------------|--------------|--------------|
| EquiLottery LLC | Montano, Miguel O. | Jefferson | 12/8/22 | \$150,000.00 | \$150,000.00 | \$37,500.00 |
| Equilottery LLC | Saunders, Robert S. | Jefferson | 12/8/22 | \$50,000.00 | _ | \$0.00 |
| Goodmaps Inc. | Perkins, III, Herbert W. | Jefferson | 6/29/23 | \$50,000.00 | \$50,000.00 | \$12,500.00 |
| Goodmaps Inc. | Perkins, III, Herbert W. | Jefferson | 7/28/22 | \$100,000.00 | \$100,000.00 | \$25,000.00 |
| GoWild Alpha Inc. | Perkins, III, Herbert W. | Jefferson | 4/27/23 | \$50,000.00 | \$50,000.00 | \$12,500.00 |
| Guide Book Outdoors, Inc. | Cassady, Jacob W. | Jefferson | 6/29/23 | \$25,000.00 | \$15,000.00 | \$3,750.00 |
| Gun Media Holdings, Inc. | Self, Thomas Benjamin | Fayette | 6/29/23 | \$70,000.00 | - | - |
| Gun Media Holdings, Inc. | Chait, Jonathan F. | Fayette | 3/30/23 | \$100,000.00 | \$100,000.00 | \$25,000.00 |
| Gun Media Holdings, Inc. | Yarmuth, William B. | Fayette | 4/27/23 | \$800,000.00 | \$800,002.56 | \$200,000.00 |
| Gun Media Holdings, Inc. | Young, Christopher H. | Fayette | 9/29/22 | \$500,000.00 | \$500,000.00 | \$125,000.00 |
| Gun Media Holdings, Inc. | Yarmuth, Susan A. | Fayette | 4/27/23 | \$200,000.00 | \$200,000.64 | \$50,000.00 |
| Lepidext, Inc. | Drury, John Henderson | Fayette | 7/28/22 | \$20,000.00 | \$20,000.00 | \$5,000.00 |
| Lepidext, Inc. | Aebersold, Zachary Cole | Fayette | 7/28/22 | \$20,000.00 | \$20,000.00 | \$5,000.00 |
| Lepidext, Inc. | Sidhu, Preetpal Singh | Fayette | 7/28/22 | \$100,000.00 | \$100,000.00 | \$25,000.00 |
| Lepidext, Inc. | Hager, Benjamin William | Fayette | 7/28/22 | \$10,000.00 | \$10,000.00 | \$2,500.00 |
| Liberate Medical (DE), Inc. | Francois, Cedric G. | Oldham | 12/8/22 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| Liberate Medical (DE), Inc. | Toutant, Roy T. | Oldham | 3/30/23 | \$25,000.00 | \$25,000.01 | \$6,250.00 |
| Liberate Medical (DE), Inc. | Toutant, Roy T. | Oldham | 9/29/22 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| Parasight System Inc. | Goodnight, David L. | Fayette | 7/28/22 | \$50,000.00 | - | - |
| Personal Medicine Plus LLC | Carlton, Gregory W. | McCrack- en | 6/29/23 | \$100,000.00 | - | - |
| PowerTech Water, Inc. | Toutant, Roy T. | Fayette | 5/25/23 | \$50,000.00 | \$49,999.16 | \$12,499.00 |
| PowerTech Water, Inc. | Chait, Jonathan F. | Fayette | 5/25/23 | \$50,000.00 | \$49,999.16 | \$12,499.00 |
| PowerTech Water, Inc. | Houlihan, Jr., John Spencer | Fayette | 6/29/23 | \$50,000.00 | \$0.00 | \$0.00 |
| PowerTech Water, Inc. | Wolford, George Rodney | Fayette | 5/25/23 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| PowerTech Water, Inc. | Young, Christopher H. | Fayette | 5/25/23 | \$150,000.00 | \$150,000.00 | \$37,500.00 |
| PowerTech Water, Inc. | Toutant, Roy T. | Fayette | 1/26/23 | \$75,000.00 | \$75,000.00 | \$18,750.00 |
| PowerTech Water, Inc. | Diachun, Daniel S. | Fayette | 5/25/23 | \$100,000.00 | \$99,999.95 | \$24,999.00 |
| PowerTech Water, Inc. | Hawse, Alan R. | Fayette | 5/25/23 | \$100,000.00 | \$99,999.95 | \$24,999.00 |
| Pyrochem Catalyst Company | Meng, George E. | Jefferson | 12/8/22 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| Pyrochem Catalyst Company | Streepey, Ben M. | Jefferson | 12/8/22 | \$15,000.00 | \$15,000.00 | \$3,750.00 |
| Pyrochem Catalyst Company | Jakobi, Larry A. | Jefferson | 12/8/22 | \$50,000.00 | \$50,000.00 | \$12,500.00 |
| Pyrochem Catalyst Company | Noonan, Joseph E. | Jefferson | 12/8/22 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| Pyrochem Catalyst Company | Goodnight, David L. | Jefferson | 12/8/22 | \$15,000.00 | \$15,000.00 | \$3,750.00 |
| RalphVR Inc. | Flynn, II, John Bishop | Fayette | 3/30/23 | \$20,000.00 | \$15,000.00 | \$3,750.00 |
| Rave On Sports, LLC | Pappas, John E. | Fayette | 7/28/22 | \$50,000.00 | \$50,000.00 | \$12,500.00 |
| Thynk Health, LLC | Hollembaek, Linda T. | Fayette | 4/27/23 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| Thynk Health, LLC | Toutant, Roy T. | Fayette | 1/26/23 | \$75,000.00 | \$75,000.00 | \$18,750.00 |
| Thynk Health, LLC | Meng, George E. | Fayette | 3/30/23 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| Thynk Health, LLC | Hoffman, J. Miller | Fayette | 4/27/23 | \$25,000.00 | \$25,000.00 | \$6,250.00 |
| Wendal Inc | Young, Jason Alan | Kenton | 5/25/23 | \$200,012.00 | \$40,022.57 | \$10,005.00 |
| Wendal Inc | Emerine, Kelly Beth | Kenton | 4/27/23 | \$10,043.00 | \$10,043.00 | \$2,510.00 |
| Wendal Inc | Verst, Paul T. | Kenton | 3/30/23 | \$250,028.00 | \$250,028.00 | \$62,507.00 |
| Wendal Inc | Kienzle, Barry G. | Kenton | 3/30/23 | \$100,031.00 | \$100,031.00 | \$25,007.00 |
| Wendal Inc | Sousa, IV, John Philip | Kenton | 3/30/23 | \$250,000.00 | \$200,012.00 | \$50,003.00 |

| Wendal Inc | Watkins, Matthew David | Kenton | 3/30/23 | \$21,041.00 | \$21,041.00 | \$5,260.00 |
|--|--------------------------------|--------|---------|----------------|----------------|----------------|
| Wendal Inc | Sumerel, Craig D. | Kenton | 7/28/22 | \$200,024.00 | \$200,024.00 | \$50,006.00 |
| Wendal Inc | Grace, Corey Paul | Kenton | 5/25/23 | \$27,016.00 | \$27,016.49 | \$6,754.00 |
| Wendal Inc | Sumerel, Craig D. | Kenton | 5/25/23 | \$200,012.00 | \$200,012.00 | \$50,003.00 |
| Wendal Inc | Moeves, Tobias J. | Kenton | 5/25/23 | \$150,047.00 | \$100,000.00 | \$25,000.00 |
| Wendal Inc | Arrasmith, II, Jerry Joseph | Kenton | 6/29/23 | \$30,000.00 | \$30,029.48 | \$7,500.00 |
| Wendal Inc | Schroeder, Gregory Lee | Kenton | 12/8/22 | \$600,000.00 | \$600,000.00 | \$150,000.00 |
| Wendal Inc | Tuffin, Mark Charles | Kenton | 12/8/22 | \$1,000,000.00 | \$1,000,000.00 | \$200,000.00 |
| Wendal Inc | Heidrich, David P. | Kenton | 8/25/22 | \$25,036.00 | \$25,036.00 | \$6,259.00 |
| Wendal Inc | Ford, Matthew Michael | Kenton | 4/27/23 | \$40,023.00 | \$40,023.00 | \$10,005.00 |
| Wendal Inc | Schroeder, Gregory Lee | Kenton | 4/27/23 | \$200,012.00 | \$200,012.40 | \$50,003.00 |
| Wendal Inc | Becker, Mark J. | Kenton | 8/25/22 | \$20,020.00 | \$20,020.00 | \$5,005.00 |
| Wendal Inc | Wulfeck, Jason Edward | Kenton | 8/25/22 | \$80,036.00 | \$80,036.00 | \$20,009.00 |
| Wendal Inc | Bray, Scott R. | Kenton | 4/27/23 | \$80,045.00 | \$80,045.13 | \$20,011.00 |
| Wendal Inc | Fritz, Michael B. | Kenton | 9/29/22 | \$10,032.00 | \$10,032.00 | \$2,508.00 |
| THE STATE OF THE S | | - | | | | |
| Grand Totals (68 records) | | | | \$7,773,458.00 | \$7,168,465.50 | \$1,742,101.00 |

Appendix F: KSBTC Recipients

| Company Name | County | Base Employ- ment Number | New Jobs Projected | Average Hourly Wage | Final Total Estimated Costs | Final Incen- tive Amount |
|---|-----------|-----------------------------------|-----------------------|---------------------------|-----------------------------------|-----------------------------|
| 606 Holdings, LLC | Perry | 0 | 6 | \$17.77 | \$84,673.00 | \$21,000.00 |
| 76 Home Services LLC | Jefferson | 0 | 4 | \$19.88 | \$53,500.00 | \$14,000.00 |
| 863 Wilkinson, LLC | Franklin | 1 | 5 | \$15.00 | \$21,386.00 | \$17,500.00 |
| Administrative Processing & Services, LLC | Fayette | 23 | 5 | \$23.21 | \$18,048.00 | \$17,500.00 |
| Administrative Processing & Services, LLC | Fayette | 15 | 8 | \$20.53 | \$30,395.00 | \$25,000.00 |
| Advanced Dental Center, Inc. | Jefferson | 7 | 7 | \$36.43 | \$66,391.00 | \$24,500.00 |
| Almost Home Brewing LLC | Jefferson | 2 | 2 | \$14.50 | \$33,739.00 | \$7,000.00 |
| Annie's Frugal Finery LLC | Letcher | 1 | 3 | \$13.00 | \$5,020.00 | \$5,000.00 |
| Architectural Kitchens & Baths LLC | Fayette | 3 | 1 | \$26.44 | \$6,348.00 | \$3,500.00 |
| Arthritis Center of Lexington, P.S.C. | Fayette | 43 | 6 | \$22.28 | \$31,201.00 | \$21,000.00 |
| Automotive Service Products, Inc. | Boone | 30 | 4 | \$17.75 | \$15,940.00 | \$14,000.00 |
| Ayoroa Simmons, LLC | Fayette | 7 | 4 | \$27.85 | \$76,900.00 | \$14,000.00 |
| Ballast, Inc. | Fayette | 8 | 1 | \$52.43 | \$6,787.00 | \$3,500.00 |
| BEH Physical Therapy, LLC | Madison | 0 | 1 | \$30.29 | \$5,489.00 | \$3,500.00 |
| Best Pets Animal Clinic, LLC | Scott | 1 | 1 | \$44.93 | \$43,350.00 | \$3,500.00 |
| Bethany Hicks Agency, LLC | Fayette | 6 | 4 | \$17.25 | \$20,722.00 | \$14,000.00 |
| BGS Kentucky, LLC | Fayette | 1 | 1 | \$17.31 | \$5,697.00 | \$3,500.00 |

| Bluegrass Hearing Clinic, LLC | Bourbon | 18 | 2 | \$13.95 | \$7,750.00 | \$7,000.00 |
|---|------------|----|---|----------|--------------|-------------|
| BMT Maintenance LLC | Scott | 0 | 3 | \$28.92 | \$32,740.00 | \$10,500.00 |
| Bozz's Excavating, LLC | Daviess | 0 | 1 | \$17.31 | \$8,500.00 | \$3,500.00 |
| Brian Bumm Designs LLC | Daviess | 0 | 1 | \$25.00 | \$32,705.00 | \$3,500.00 |
| Bright & Edwards Group, LLC | McCracken | 0 | 1 | \$62.50 | \$43,580.00 | \$3,500.00 |
| Broker House Lending, LLC | Jefferson | 5 | 1 | \$21.00 | \$49,999.00 | \$3,500.00 |
| Bruce-Layne, LLC | Jefferson | 8 | 1 | \$19.00 | \$16,130.00 | \$3,500.00 |
| Budget Print Center, Inc. | Jefferson | 5 | 2 | \$17.00 | \$83,420.00 | \$7,000.00 |
| Busy Bee Occupational Therapy, PLLC | Madison | 10 | 4 | \$23.04 | \$13,371.00 | \$13,300.00 |
| Butts Golf, LLC | Livingston | 2 | 2 | \$20.00 | \$64,241.00 | \$7,000.00 |
| C & L Golf, LLC | Lincoln | 0 | 1 | \$19.37 | \$11,299.00 | \$3,500.00 |
| C2 Strategic Communications LLC | Jefferson | 12 | 6 | \$33.30 | \$21,375.00 | \$21,000.00 |
| Capital Recovery Group Technologies, LLC | Jefferson | 15 | 7 | \$35.04 | \$25,070.00 | \$24,500.00 |
| Carbide Products, Inc. | Scott | 26 | 1 | \$18.50 | \$186,134.00 | \$3,500.00 |
| Carey Sign Company, LLC | Nelson | 2 | 2 | \$19.26 | \$69,538.00 | \$7,000.00 |
| Carlisle Milling, LLC | Graves | 5 | 1 | \$17.50 | \$16,200.00 | \$3,500.00 |
| Carpet Specialists, Inc. | Jefferson | 24 | 4 | \$19.58 | \$39,477.00 | \$14,000.00 |
| CE Holdings, LLC | Marshall | 1 | 1 | \$13.50 | \$84,990.00 | \$3,500.00 |
| Children's Vision and Learning Center, P.S.C. | Woodford | 5 | 1 | \$31.25 | \$5,700.00 | \$3,500.00 |
| Chism Machine and Gage, Inc. | Pulaski | 2 | 5 | \$40.35 | \$25,000.00 | \$17,500.00 |
| Clique Boutique LLC | Jefferson | 12 | 2 | \$17.75 | \$9,048.00 | \$7,000.00 |
| Collier Associates, Inc. | Marshall | 10 | 2 | \$67.25 | \$42,990.00 | \$7,000.00 |
| Collier Electrical Service, Inc. | Marshall | 32 | 4 | \$26.16 | \$20,544.00 | \$14,000.00 |
| Collier Electrical Service, Inc. | Marshall | 36 | 2 | \$34.72 | \$7,800.00 | \$7,000.00 |
| Commonwealth Eye Clinic, Inc. | Fayette | 11 | 5 | \$27.22 | \$17,630.00 | \$17,500.00 |
| Compass Counseling LLC | McCracken | 1 | 1 | \$14.00 | \$79,185.00 | \$3,500.00 |
| Complete Restoration LLC | Henderson | 4 | 2 | \$18.60 | \$24,846.00 | \$7,000.00 |
| Conners Flooring Solutions, Inc. | Jefferson | 2 | 1 | \$16.00 | \$5,000.00 | \$3,500.00 |
| Consultant Solutions, Incorporated | Fayette | 38 | 2 | \$23.30 | \$27,995.00 | \$7,000.00 |
| Core4 Therapy Group, LLC | Fayette | 0 | 3 | \$52.67 | \$10,580.00 | \$10,500.00 |
| Cornerstone Sign and Decal, Inc. | Jefferson | 7 | 2 | \$18.45 | \$185,750.00 | \$7,000.00 |
| Corvin's Furniture & Carpet, LLC | Nelson | 23 | 2 | \$19.47 | \$19,554.00 | \$7,000.00 |
| Corvin's Furniture & Carpet, LLC | Nelson | 22 | 1 | \$34.75 | \$16,981.00 | \$3,500.00 |
| Corvin's Furniture & Carpet, LLC | Nelson | 21 | 1 | \$36.05 | \$52,126.00 | \$3,500.00 |
| Cunningham Machine, LLC | Calloway | 3 | 1 | \$23.00 | \$85,100.00 | \$3,500.00 |
| Davis Jewelers, Inc. | Jefferson | 13 | 3 | \$25.53 | \$27,394.00 | \$10,500.00 |
| D C Electric of Benton, Inc. | Marshall | 30 | 2 | \$21.06 | \$31,451.00 | \$7,000.00 |
| D-Crane Rental, LLC | Boone | 9 | 3 | \$35.06 | \$80,000.00 | \$10,500.00 |
| Dennis L. Thomas & Company, PLLC. | Jefferson | 0 | 3 | \$32.54 | \$7,005.00 | \$7,000.00 |
| Designer Eyewear, Inc. | Jefferson | 1 | 1 | \$27.47 | \$6,632.00 | \$3,500.00 |
| Devan Medical, LLC | Fayette | 0 | 1 | \$224.89 | \$12,844.00 | \$3,500.00 |
| Division Three, LLC | Shelby | 1 | 2 | \$23.00 | \$26,410.00 | \$7,000.00 |

| Dixie Yard Works, LLC | Hardin | 16 | 4 | \$19.13 | \$60,942.00 | \$14,000.00 |
|---|-----------|----|---|---------|--------------|-------------|
| Dr. Kimberly A. Buckner, PLC | Elliott | 2 | 1 | \$11.00 | \$6,140.00 | \$3,500.00 |
| Dyer and Pine Company, Inc. | Jefferson | 3 | 1 | \$33.52 | \$5,421.00 | \$3,500.00 |
| Ebelhar Whitehead, PLLC | Daviess | 5 | 2 | \$27.43 | \$13,964.00 | \$7,000.00 |
| Eddy Creek Marina Resort, LLC | Lyon | 6 | 1 | \$20.00 | \$17,024.00 | \$3,500.00 |
| Edge Academy of Beauty Incorporated | Clay | 0 | 2 | \$25.00 | \$8,771.00 | \$7,000.00 |
| EF Enterprises, Inc. | Calloway | 9 | 1 | \$19.00 | \$5,836.00 | \$3,500.00 |
| Engenesys, LLC | Boone | 2 | 6 | \$32.13 | \$22,928.00 | \$21,000.00 |
| Engineered Building Systems, Inc. | Campbell | 13 | 2 | \$31.50 | \$11,999.00 | \$7,000.00 |
| Evan M. Zeh DMD MS, PLC | Shelby | 0 | 2 | \$18.50 | \$8,000.00 | \$7,000.00 |
| Farm to Bagel Lex, Inc. | Fayette | 6 | 1 | \$15.00 | \$6,455.00 | \$3,500.00 |
| First Saturday Real Estate LLC | Jefferson | 4 | 1 | \$30.28 | \$5,493.00 | \$3,500.00 |
| Fleur de Lis Communications, LLC | Jefferson | 0 | 2 | \$31.49 | \$7,173.00 | \$7,000.00 |
| Foundations Family & Implant Dentistry, PLLC | Jefferson | 5 | 3 | \$20.67 | \$13,954.00 | \$10,500.00 |
| Green River Accounting and Tax Solutions LLC | Hart | 4 | 4 | \$20.65 | \$23,161.00 | \$14,000.00 |
| Green River Accounting and Tax Solutions LLC | Hart | 3 | 1 | \$25.00 | \$5,458.00 | \$3,500.00 |
| Gutter Boyz of Kentucky, LLC | Marshall | 5 | 2 | \$14.54 | \$11,000.00 | \$7,000.00 |
| H.P.G. Inc | Jefferson | 1 | 1 | \$22.59 | \$6,345.00 | \$3,500.00 |
| Harris Federal Law Firm L.L.C. | Fayette | 3 | 1 | \$30.00 | \$7,591.00 | \$3,500.00 |
| Health Choice Enterprises, LLC | Jefferson | 7 | 1 | \$21.63 | \$13,033.00 | \$3,500.00 |
| Heartland Veterinary Hospital of Elizabethtown P.S.C. | Hardin | 7 | 4 | \$15.39 | \$11,614.00 | \$11,600.00 |
| Hester Equestrian, LLC | Fayette | 1 | 1 | \$23.26 | \$32,200.00 | \$3,500.00 |
| Highlandbrook Nursery, LLC | Todd | 5 | 1 | \$15.00 | \$7,450.00 | \$3,500.00 |
| Hurd Aviation LLC | Scott | 7 | 4 | \$38.90 | \$14,070.00 | \$14,000.00 |
| Ingenium Solutions, LLC | Jefferson | 6 | 5 | \$28.40 | \$15,254.00 | \$15,200.00 |
| Inside Out Design, LLC | Franklin | 7 | 1 | \$18.00 | \$64,000.00 | \$3,500.00 |
| ISET, LLC | Jefferson | 2 | 3 | \$18.33 | \$16,000.00 | \$10,500.00 |
| JB Therapy Services, PLLC | Daviess | 5 | 5 | \$14.60 | \$17,946.00 | \$17,500.00 |
| JC Brewer Construction, Inc. | Warren | 21 | 2 | \$26.50 | \$12,650.00 | \$7,000.00 |
| JDR LLC | Jefferson | 10 | 1 | \$21.40 | \$7,252.00 | \$3,500.00 |
| Jeffries Heating & Air, LLC | Pulaski | 6 | 4 | \$22.50 | \$11,495.00 | \$11,400.00 |
| Jobe Publishing, Inc. | Hart | 34 | 8 | \$12.41 | \$18,675.00 | \$18,600.00 |
| Joe W. Taylor, CPAs, Inc. | Warren | 4 | 1 | \$30.00 | \$5,632.00 | \$3,500.00 |
| Joker Business Solutions, LLC | Fayette | 2 | 1 | \$23.00 | \$5,080.00 | \$3,500.00 |
| Kentucky Lumber LLC | Todd | 5 | 2 | \$21.63 | \$5,072.00 | \$5,000.00 |
| Kentucky Machinery Company, LLC | Madison | 9 | 1 | \$33.00 | \$192,927.00 | \$3,500.00 |
| Kismet Marketing, L.L.C. | Fayette | 3 | 1 | \$32.00 | \$5,697.00 | \$3,500.00 |
| Knox Professional Pharmacy, Inc. | Knox | 2 | 2 | \$16.00 | \$132,900.00 | \$7,000.00 |
| KY Hearing Clinic, LLC | Jefferson | 2 | 1 | \$41.00 | \$8,432.00 | \$3,500.00 |
| Lancaster Eye Center, PLLC | Garrard | 3 | 1 | \$14.00 | \$5,220.00 | \$3,500.00 |
| Laswell Electric Company, Inc. | Jefferson | 11 | 6 | \$25.08 | \$24,104.00 | \$21,000.00 |

| Legacy Real Estate Group, Inc. | Warren | 8 | 2 | \$21.51 | \$7,584.00 | \$7,000.00 |
|---|------------|----|---|---------|--------------|---|
| Legion Logistics, LLC | Campbell | 17 | 5 | \$27.17 | \$9,616.00 | \$9,600.00 |
| Lexcelon, LLC | Fayette | 2 | 1 | \$23.44 | \$6,200.00 | \$3,500.00 |
| LJS Transport LLC | Graves | 5 | 1 | \$66.79 | \$105,900.00 | \$3,500.00 |
| Lovo Systems, Inc. | Fayette | 19 | 2 | \$27.00 | \$31,831.00 | \$7,000.00 |
| Luna's Coffee, Wine, and Butterfly | Jessamine | 0 | 1 | \$21.50 | \$5,122.00 | \$3,500.00 |
| Farm Limited Liability Company | | | - | 1 | 0,111.00 | , |
| Marrillia Interests, LLC | Fayette | 25 | 4 | \$33.99 | \$25,328.00 | \$14,000.00 |
| McCain Bros. Excavating, Inc. | Washington | 22 | 5 | \$24.25 | \$65,500.00 | \$17,500.00 |
| Meyerowitz & King, PLLC | Jefferson | 3 | 1 | \$43.27 | \$5,085.00 | \$3,500.00 |
| Milk & Honey, LLC | Jefferson | 0 | 1 | \$12.00 | \$15,399.00 | \$3,500.00 |
| Mindel, Scott & Associates, Inc. | Jefferson | 27 | 3 | \$27.08 | \$21,707.00 | \$10,500.00 |
| Mizuguchi Plastic Surgery, PLLC | Jefferson | 6 | 2 | \$19.90 | \$10,000.00 | \$7,000.00 |
| Motus Freight, LLC | Campbell | 22 | 5 | \$22.83 | \$17,560.00 | \$17,500.00 |
| Noble View Veterinary Clinic, LLC | Scott | 4 | 2 | \$30.14 | \$21,850.00 | \$7,000.00 |
| Nunn Psychiatric Care, PLLC | LaRue | 2 | 3 | \$40.97 | \$8,239.00 | \$8,200.00 |
| Old Gate, LLC | Fayette | 17 | 8 | \$15.34 | \$31,790.00 | \$25,000.00 |
| Old School Mall, LLC | Fayette | 0 | 1 | \$22.46 | \$26,655.00 | \$3,500.00 |
| Optimal Living Services, LLC | Fayette | 3 | 4 | \$22.00 | \$18,586.00 | \$14,000.00 |
| Patterson and Company CPAs PLLC | Jefferson | 17 | 2 | \$25.93 | \$8,801.00 | \$7,000.00 |
| PayHOA, LLC | Fayette | 4 | 2 | \$27.64 | \$8,795.00 | \$7,000.00 |
| Phoenix Preferred Care Incorporated | Pulaski | 13 | 2 | \$19.23 | \$10,030.00 | \$7,000.00 |
| Please & Thank You, LLC | Jefferson | 13 | 2 | \$20.96 | \$39,249.00 | \$7,000.00 |
| Priceville Hardwoods, LLC | Hart | 2 | 5 | \$29.15 | \$135,000.00 | \$17,500.00 |
| Quantum Ink Company | Jefferson | 32 | 3 | \$21.39 | \$11,400.00 | \$10,500.00 |
| Quest Counseling, LLC | Pulaski | 21 | 5 | \$24.19 | \$14,790.00 | \$14,700.00 |
| Quilted Joy, LLC | Jefferson | 3 | 3 | \$15.77 | \$8,520.00 | \$8,500.00 |
| Rad Accessories, LLC | Marshall | 5 | 4 | \$22.27 | \$25,343.00 | \$14,000.00 |
| Radiant Inc. | Jefferson | 6 | 2 | \$19.00 | \$8,532.00 | \$7,000.00 |
| Rainmaker Corporation | Daviess | 12 | 2 | \$20.06 | \$6,425.00 | \$6,400.00 |
| REB Architects, PLLC | Jessamine | 7 | 1 | \$17.63 | \$5,015.00 | \$3,500.00 |
| Runswitch, LLC | Jefferson | 14 | 4 | \$20.46 | \$15,313.00 | \$14,000.00 |
| Ryder Advising, LLC | Fayette | 0 | 1 | \$19.23 | \$49,225.00 | \$3,500.00 |
| Schipper Enterprises, LLC | Jefferson | 31 | 8 | \$17.00 | \$32,275.00 | \$25,000.00 |
| Seay Motors, LLC | Graves | 13 | 3 | \$20.71 | \$14,990.00 | \$10,500.00 |
| Service Specialties, LLC | Clark | 14 | 5 | \$27.60 | \$171,107.00 | \$17,500.00 |
| Shelia Wilson Alexander CPA | Calloway | 3 | 1 | \$13.00 | \$8,955.00 | \$3,500.00 |
| Sisk Automotive, LLC | Christian | 0 | 7 | \$38.08 | \$66,550.00 | \$24,500.00 |
| Solar Energy Solutions LLC | Fayette | 15 | 6 | \$26.40 | \$102,618.00 | \$21,000.00 |
| South Central Veterinary Services, LLC | Warren | 11 | 1 | \$40.86 | \$18,400.00 | \$3,500.00 |
| Southern Kentucky Industrial Equipment LLC | Pulaski | 5 | 1 | \$17.00 | \$26,351.00 | \$3,500.00 |
| Stang Plumbing, Inc. | Clark | 5 | 2 | \$13.75 | \$38,000.00 | \$7,000.00 |
| Team Wolf, Inc | Jefferson | 7 | 1 | \$28.44 | \$5,990.00 | \$3,500.00 |

| Team Wolf, Inc | Jefferson | 3 | 4 | \$21.53 | \$14,506.00 | \$14,000.00 |
|---|-----------|-----|---|---------|-----------------|---------------|
| Teeters & May, PLLC | Johnson | 0 | 4 | \$24.16 | \$20,384.00 | \$14,000.00 |
| The Joint - BBQ, Wings & More LLC | Lyon | 1 | 1 | \$18.99 | \$8,310.00 | \$3,500.00 |
| The Nest Day Spa, LLC | Greenup | 0 | 6 | \$26.94 | \$25,100.00 | \$21,000.00 |
| Thermal Equipment Sales, Inc. | Fayette | 28 | 1 | \$29.57 | \$20,000.00 | \$3,500.00 |
| Think Tank Marketing, LLC | Jefferson | 1 | 1 | \$22.00 | \$5,498.00 | \$3,500.00 |
| Tony Brown Chevrolet, Inc. | Meade | 38 | 6 | \$29.00 | \$24,551.00 | \$21,000.00 |
| Total Tech Solutions LLC | Calloway | 9 | 3 | \$25.82 | \$18,995.00 | \$10,500.00 |
| Uptown Restaurant, LLC | Jefferson | 0 | 5 | \$19.99 | \$14,438.00 | \$14,400.00 |
| Vivid Imaginations Child Care Center, LLC | Jefferson | 4 | 1 | \$13.00 | \$5,600.00 | \$3,500.00 |
| Volta Inc. | Franklin | 29 | 2 | \$49.76 | \$36,473.00 | \$7,000.00 |
| W. M. Holland, Inc. | Daviess | 5 | 1 | \$17.20 | \$5,439.00 | \$3,500.00 |
| Waityn4JC Mobilization LLC | Anderson | 18 | 2 | \$18.29 | \$89,000.00 | \$7,000.00 |
| Wake Cumberland Watersports LLC | Pulaski | 3 | 3 | \$14.01 | \$12,446.00 | \$10,500.00 |
| West Sixth Brewing Company, LLC | Fayette | 33 | 7 | \$19.52 | \$31,929.00 | \$24,500.00 |
| Wildcat Used Cars, LLC | Pulaski | 3 | 7 | \$32.09 | \$27,999.00 | \$24,500.00 |
| Wilder Iron Works LLC | Campbell | 5 | 1 | \$19.00 | \$6,375.00 | \$3,500.00 |
| Wiley Electrical Contractor, LLC | Lincoln | 2 | 2 | \$15.06 | \$18,080.00 | \$7,000.00 |
| Willow Hill Supply, LLC | Clark | 7 | 8 | \$15.97 | \$49,900.00 | \$25,000.00 |
| Woodford Family Chiropractic, LLC | Woodford | 0 | 1 | \$14.00 | \$60,559.00 | \$3,500.00 |
| Wyatt Plumbing Inc. | Fayette | 1 | 1 | \$16.00 | \$5,011.00 | \$3,500.00 |
| XploreKy, LLC | Jefferson | 16 | 5 | \$18.00 | \$63,500.00 | \$17,500.00 |
| Grand Totals (164 records) | | 446 | | \$ | 4,863,747.00 \$ | 51,501,900.00 |

Appendix G: KSBCI Recipients

| Closed | Participating Bank | KSBCI Program | Total Project Amount | KSBCI Support Amount |
|--|--------------------------------|---------------|-------------------------|-------------------------|
| JAKLAK LLC | First Financial Bank | KYLPP | \$550,147.16 | \$106,029.40 |
| DC Logistics, Inc. | United Citizens Bank & Trust | KYCSP | \$350,000.00 | \$18,750.00 |
| Arnett Dental Practice, PLLC/She- lia's Property, LLC | First National Bank of Grayson | KYCSP | \$580,000.00 | \$104,400.00 |
| Prosigns LLC | Mountain Association | KYCSP | \$150,000.00 | \$30,000.00 |
| Print My Threads, LLC | Mountain Association | KYCSP | \$230,000.00 | \$40,000.00 |
| Marshall T. West d/b/a West & Jones Funeral Home | Mountain Association | KYCSP | \$225,000.00 | \$40,000.00 |
| Shooting Star Properties, LLC/Paladin, Inc. | Community Trust Bank | KYCSP | \$705,000.00 | \$40,125.00 |
| Miles Exterminating Co., Inc. | First National Bank of Grayson | KYCSP | \$80,000.00 | \$16,000.00 |
| Phillips Diversified Manufacturing, Inc. | KHIC | KYCSP | \$1,200,000.00 | \$240,000.00 |
| Grace Community Health Center | Forcht Bank | KYCSP | \$600,000.00 | \$99,000.00 |

| Carey Technologies, Inc. dba Pine Mountain Canopy Tours | KHIC | KYCSP | \$550,000.00 | \$50,000.00 |
|--|--------------------------------|-------|----------------|--------------|
| Frontier Veterinary Services, LLC | United Southern Bank | KYCSP | \$300,000.00 | \$37,498.55 |
| Christian Academy of Lawrenceburg, Kentucky, Inc. | First Financial Bank | KYCSP | \$707,000.00 | \$131,400.00 |
| Posh Academy, LLC | Paducah Bank & Trust | KYCSP | \$358,250.00 | \$49,000.00 |
| Stapleton Holdings, LLC | First Financial Bank | KYCSP | \$457,000.00 | \$91,400.00 |
| Julep Pets, Inc. | Kentucky Bank | KYCSP | \$155,000.00 | \$23,250.00 |
| Quality Tire & Access, Inc. | SKED | KYCSP | \$150,000.00 | \$22,500.00 |
| M & M Newspapers, LLC | Traditional Bank | KYCSP | \$500,000.00 | \$90,000.00 |
| Critchfield Meats, Inc. | Traditional Bank | KYCSP | \$1,607,905.79 | \$211,543.20 |
| PMAK, LLC (project #1) | Fifth Third Bank | KYCSP | \$725,000.00 | \$72,500.00 |
| KJKJ, LLC | Fifth Third Bank | KYCSP | \$578,106.63 | \$91,306.00 |
| Mahalaxmi Host, LLC and Radha Ventures, LLC | Traditional Bank | KYCSP | \$1,250,000.00 | \$250,000.00 |
| Hicks-Blaydes Farm, LLC | Kentucky Bank | KYCSP | \$600,913.40 | \$58,930.00 |
| Affordable Signs & Apparel, LLC | United Citizens Bank & Trust | KYCSP | \$48,000.00 | \$9,000.00 |
| McClure's Auto Parts, Inc. | Mountain Association | KYCSP | \$560,000.00 | \$112,000.00 |
| Mirissia Duncil and Miles Duncil | First National Bank of Grayson | KYCSP | \$205,000.00 | \$41,000.00 |
| GBC Enterprises, LLC | Republic Bank & Trust | KYCSP | \$2,822,500.00 | \$360,000.00 |
| Addiction Recovery Care, LLC (project #1) | Mountain Association | KYCSP | \$150,000.00 | \$16,000.00 |
| 5454 LLC | First Harrison Bank | KYCSP | \$144,100.00 | \$15,851.00 |
| Faith Holdings LLC | First Harrison Bank | KYCSP | \$3,120,000.00 | \$456,000.00 |
| SNEAK Properties, LLC | Stock Yards Bank | KYCSP | \$4,200,000.00 | \$798,000.00 |
| Drs. Burch, Renshaw, Wix & Associates, P.S.C. | Republic Bank & Trust | KYCSP | \$1,907,637.00 | \$250,000.00 |
| Addiction Recovery Care, LLC (project #2) | Mountain Association | KYCSP | \$500,000.00 | \$100,000.00 |
| AAA CNC Milling Center LLC | First Harrison Bank | KYCSP | \$330,000.00 | \$36,000.00 |
| True North Properties, LLC | Fifth Third Bank | KYCSP | \$120,000.00 | \$24,000.00 |
| PEARCE Group LLC | Fifth Third Bank | KYCSP | \$230,000.00 | \$46,000.00 |
| AEK Transport Services LLC | Community Ventures Corporation | KYCSP | \$85,000.00 | \$17,000.00 |
| Capitol Brewing Company, PBC | Traditional Bank | KYCSP | \$130,000.00 | \$26,000.00 |
| F&B Properties LLC | Traditional Bank | KYCSP | \$1,600,000.00 | \$142,500.00 |
| Affordable Granite & Marble Co. LLC | First Harrison Bank | KYCSP | \$230,000.00 | \$45,000.00 |
| PMAK, LLC (project #3) | Fifth Third Bank | KYCSP | \$624,500.00 | \$62,450.00 |
| Sav's Grill, LLC | Traditional Bank | KYCSP | \$525,000.00 | \$96,200.00 |
| Pearly Gates JV L.L.C. | First Harrison Bank | KYCSP | \$939,964.00 | \$120,000.00 |
| Unstoppable Faith LLC | First Harrison Bank | KYCSP | \$843,755.00 | \$166,751.00 |
| Parker Farm Supply, LLC | United Citizens Bank & Trust | KYCSP | \$472,000.00 | \$25,400.00 |
| OC Brannon Crossing, LLC | Fifth Third Bank | KYCSP | \$1,500,000.00 | \$150,000.00 |
| 153 LLC | First Harrison Bank | KYCSP | \$496,764.00 | \$97,853.00 |
| Green Solutions Landcare, LLC | Monticello Banking Company | KYCSP | \$1,309,000.00 | \$250,000.00 |
| 340 North Buckman, LLC (Pink Door Wreaths) | First Harrison Bank | KYCSP | \$726,400.00 | \$127,000.00 |
| | | | | |

| BlueGrass Taproot, LLC | First Harrison Bank | KYCSP | \$786,150.00 | \$135,000.00 | |
|--|---------------------------------|-------|----------------|--------------|--|
| PJJAM Enterprises, Inc. (real estate loan) | Stock Yards Bank & Trust | KYCSP | \$450,000.00 | \$90,000.00 | |
| PJJAM Enterprises, Inc. (equipment loan) | Stock Yards Bank & Trust | KYCSP | \$1,250,000.00 | \$250,000.00 | |
| TS Montgomery, LLC | Traditional Bank | KYCSP | \$623,560.00 | \$104,968.00 | |
| Thind & Manak Investment, LLC | Traditional Bank | KYCSP | \$2,630,908.00 | \$396,082.00 | |
| DE/TAC Properties Limited Liability Partnership | Commercial Bank | KYCSP | \$210,000.00 | \$26,350.00 | |
| Still Lake Properties I, LLC (real estate) | South Central Bank | KYCSP | \$712,500.00 | \$135,000.00 | |
| Still Lake Properties I, LLC (equipment) | South Central Bank | KYCSP | \$187,500.00 | \$30,000.00 | |
| TJ Dillow Properties LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$1,000,000.00 | \$90,000.00 | |
| McReynolds Physical Therapy, PLLC | First Financial Bank, N.A. | KYCSP | \$214,000.00 | \$21,400.00 | |
| DE/TAC Properties Limited Liability Partnership | Citizens Bank of Kentucky, Inc. | KYCSP | \$928,000.00 | \$83,520.00 | |
| Chambers Investments, LLC & Chambers Dentistry, PLLC | Traditional Bank | KYCSP | \$1,400,000.00 | \$250,000.00 | |
| GGML Holdings LLC | Stock Yards Bank & Trust | KYCSP | \$1,685,000.00 | \$207,360.00 | |
| TOTAL CLOSED FUNDS \$48,506,560.98 \$7,323,317.15 | | | | | |

| Paid Off/Recyclable Funds | | | | | | | | |
|--|--------------------------------|-------|----------------|----------------|--|--|--|--|
| NucSafe, Inc. / NucSafe Instru- ments, Inc. | SKED | KYCSP | \$2,000,000.00 | \$100,000.00 | | | | |
| M&M Partners (project #2) | Community Trust Bank | KYCSP | \$51,442.00 | \$10,000.00 | | | | |
| Eastern Telephone & Technologies | Mountain Association | KYCSP | \$275,000.00 | \$9,500.00 | | | | |
| OB Holdings, LLC (Ocean Breeze) | Community Trust Bank | KYCSP | \$386,086.50 | \$47,250.00 | | | | |
| The RF Group, LLC DBA Simply the Best Sports Bar & Grill | The Commercial Bank of Grayson | KYCSP | \$151,274.27 | \$30,000.00 | | | | |
| Indatus | PNC Bank | KYCSP | \$8,700,000.00 | \$1,000,000.00 | | | | |
| Sunny Deals, LLC | First Financial Bank | KYCSP | \$25,000.00 | \$5,000.00 | | | | |
| Wreck-A-Mend Auto Restoration, LLC | United Southern Bank | KYCSP | \$94,500.00 | \$14,250.00 | | | | |
| Vest Fabrication & Certified Welding, LLC | Kentucky Bank | KYCSP | \$40,000.00 | \$4,000.00 | | | | |
| Grace Coffee, Café, Bakery, LLC | Community Ventures Corporation | KYCSP | \$75,000.00 | \$15,000.00 | | | | |
| Land of Tomorrow Productions, LLC | South Central Bank | KYCSP | \$- | \$326.05 | | | | |
| Lincoln Manufacturing USA, LLC | Commercial Bank | KYCSP | \$7,245,000.00 | \$449,000.00 | | | | |
| Lincoln Manufacturing USA, LLC | Commercial Bank | KYCSP | \$2,800,000.00 | \$280,000.00 | | | | |
| H & S Distributing, LLC | Wilson & Muir Bank & Trust Co. | KYCSP | \$104,000.00 | \$19,400.00 | | | | |
| BCM JR WR LLC/Elevation Management Group, LLC | United Southern Bank | KYCSP | \$480,000.00 | \$96,000.00 | | | | |
| J and L Lyle, Inc. Project #2 | Kentucky Bank | KYCSP | \$29,000.00 | \$5,220.00 | | | | |
| Carnage Outdoor Gear, LLC | Paducah Bank & Trust | KYCSP | \$90,522.00 | \$18,000.00 | | | | |

| K&G Bear Creek Retreat/Montgom- ery Wildness/KY Antler | Kentucky Farmers Bank | KYCSP | \$- | \$11,582.47 |
|---|--------------------------------|-------|----------------|--------------|
| Tim and Bonita Butler | Citizens First Bank | KYCSP | \$140,000.00 | \$26,000.00 |
| ROR, LLC/Stepping Stones For Children, Inc. | Kentucky Bank | KYCSP | | \$151,969.11 |
| Maynard Studios | Community Trust Bank | KYCSP | \$165,750.00 | \$24,862.50 |
| Benjamin Watts/William & Kathy Watts/Wattsy's House of Iron | United Citizens Bank & Trust | KYCSP | \$50,000.00 | \$10,000.00 |
| J and L Lyle, Inc. Project #1 | Kentucky Bank | KYCSP | \$35,000.00 | \$6,300.00 |
| Fit Bodies, Inc. | Fifth Third Bank | KYCSP | \$211,000.00 | \$26,954.00 |
| Big Red Burritos, LLC | Fifth Third Bank | KYCSP | \$25,000.00 | \$5,000.00 |
| Walnut Specialists Incorporated | KHIC | KYCSP | \$150,000.00 | \$30,000.00 |
| JSB Industrial Solutions, Inc. | Mountain Association | KYCSP | \$550,000.00 | \$50,000.00 |
| Lee's Ford Wine & Spirit Shoppe, LLC | Community Trust Bank | KYCSP | \$350,000.00 | \$70,000.00 |
| Palate Restaurant Group, LLC | Traditional Bank | KYCSP | \$1,175,000.00 | \$120,000.00 |
| Grace Community Health Center | Forcht Bank | KYCSP | \$- | \$21,000.00 |
| Geoffrey & Angel Knight/Knights Transportation Services | South Central Bank | KYCSP | \$225,000.00 | \$45,000.00 |
| H.B. Molding | Huntington Bank | KYCAP | \$40,000.00 | \$1,200.00 |
| Skidaddles, Inc. | Huntington National | KYCAP | \$65,306.12 | \$1,902.12 |
| LES Workout, LLC | Citizens First Bank | KYCSP | \$1,098,920.00 | \$49,672.00 |
| JC Brewer Construction, Inc. | Citizens First Bank | KYCSP | \$251,200.00 | \$50,000.00 |
| Clark County Veterinary Clinic, INC, Jeff and Kim Castle | Kentucky Bank | KYCSP | \$680,823.58 | \$24,800.00 |
| Austin Enterprises Incorporated | Kentucky Bank | KYCSP | \$242,000.00 | \$48,400.00 |
| HC Matthews | Citizens First Bank | KYCSP | \$444,900.00 | \$69,101.00 |
| 212 Wayne Drive LLC, dba LaFontaine Preparatory School, LLC | Mountain Association | KYCSP | \$355,000.00 | \$69,000.00 |
| All Type Supply, LLC | Citizens Deposit Bank | KYCSP | \$181,868.00 | \$36,000.00 |
| CGS Machine & Tool, Inc. | Franklin Bank & Trust Company | KYCSP | \$1,440,000.00 | \$248,000.00 |
| Stardust Holdings, LLC & Stardust Ventures, LLC | кніс | KYCSP | \$1,800,000.00 | \$250,000.00 |
| Godi Corporation | Fifth Third Bank | KYCSP | \$908,000.00 | \$60,000.00 |
| Cosmic Cheer and Tumble LLC | First National Bank of Grayson | KYCSP | \$239,000.00 | \$29,850.00 |
| Superior Expediters LLC (project #1) | SKED | KYCSP | \$75,000.00 | \$13,800.00 |
| AU Associates, Inc. | Community Ventures Corporation | KYCSP | \$471,919.11 | \$45,855.00 |
| Eastman Law Office, PSC | First Financial Bank | KYCSP | \$91,000.00 | \$18,200.00 |
| Mountain Music Exchange, LLC | Mountain Association | KYCSP | \$50,000.00 | \$10,000.00 |
| "J & H Mcglone LLC dba Kees Farm Services & Supply, LLC - project #1" | First National Bank of Grayson | KYCSP | \$366,000.00 | \$26,700.00 |
| "J & H Mcglone LLC dba Kees Farm Services & Supply, LLC - project #2" | First National Bank of Grayson | KYCSP | \$50,000.00 | \$10,000.00 |
| Empress Properties, LLC | Republic Bank & Trust | KYCSP | \$1,200,000.00 | \$150,000.00 |
| D & M Contracting, Inc. | Mountain Association | KYCSP | \$100,000.00 | \$16,000.00 |
| Tilted Tulip, LLC | Mountain Association | KYCSP | \$305,000.00 | \$49,000.00 |

| <u> </u> | | | | |
|---|---|-------|----------------|--------------|
| Purley Enterprises, Inc. (project #1) | Kentucky Bank | KYCSP | \$147,500.00 | \$29,500.00 |
| Purley Enterprises, Inc. (project #2) | Kentucky Bank | KYCSP | \$317,355.00 | \$57,471.00 |
| Miller Insulation, LLC/Andrew & Eli Miller | South Central Bank of Hardin County, Inc. | KYLPP | \$85,000.00 | \$17,000.00 |
| My Visual Package, LLC | South Central Bank | KYCSP | \$30,000.00 | \$3,000.00 |
| Paducah Pizza Bakers, LLC | Paducah Bank & Trust | KYCSP | \$1,660,000.00 | \$132,800.00 |
| Country Boy Brewing, LLC & CBB Properties, LLC (construction loan) | Traditional Bank | KYCSP | \$2,950,000.00 | \$250,000.00 |
| G&M Investments, LLC | Paducah Bank & Trust | KYCSP | \$350,000.00 | \$35,000.00 |
| Best Tyler, LLC | Wilson & Muir Bank & Trust Co. | KYCSP | \$279,000.00 | \$48,000.00 |
| Mike Combs, Inc. dba Concrete Craft of Lexington | Community Ventures Corporation | KYCSP | \$20,000.00 | \$4,000.00 |
| Auto Wash USA, LLC | Whitaker Bank | KYCSP | \$1,331,688.00 | \$60,000.00 |
| Hospitality Resources, LLC | United Cumberland Bank | KYCSP | \$5,705,000.00 | \$342,300.00 |
| Women First, PLLC | First National Bank of Grayson | KYCSP | \$335,000.00 | \$67,000.00 |
| Durbin Super Bowl, LLC | Fifth Third Bank | KYCSP | \$2,125,000.00 | \$285,000.00 |
| Invictus 2468, LLC | Fifth Third Bank | KYCSP | \$875,000.00 | \$175,000.00 |
| breathe, LLC | Community Ventures Corporation | KYCSP | \$57,000.00 | \$10,000.00 |
| M&M Partners (project #1) | Community Trust Bank | KYCSP | \$95,612.00 | \$18,800.00 |
| Trackside Butcher Shoppe, LLC - Request #1 | United Citizens Bank & Trust | KYCSP | \$50,000.00 | \$10,000.00 |
| Steamer Seafood Concessions & Catering, LLC | Franklin Bank & Trust Company | KYCSP | \$- | \$7,974.97 |
| Land Shark Shredding, LLC (Project #1) | Franklin Bank & Trust Company | KYCSP | \$455,000.00 | \$91,000.00 |
| Land Shark Shredding, LLC (Project #2) | Franklin Bank & Trust Company | KYCSP | \$120,000.00 | \$24,000.00 |
| S & K Farms LLC/S & K Powder Coating, LLC | Franklin Bank & Trust Company | KYCSP | \$203,133.00 | \$40,000.00 |
| Bader's Food Mart, Inc. | First Financial Bank | KYCSP | \$286,000.00 | \$57,200.00 |
| Latonia Star, LLC (project #1) | First Financial Bank | KYCSP | \$548,000.00 | \$45,000.00 |
| Latonia Star, LLC (project #2) | First Financial Bank | KYCSP | \$40,000.00 | \$8,000.00 |
| ROR, LLC/Stepping Stones For Children, Inc. | Kentucky Bank | KYCSP | \$1,300,000.00 | \$98,030.89 |
| Dalton Development Company Limited Liability Company (project #1) | Fifth Third Bank | KYCSP | \$243,000.00 | \$27,000.00 |
| Hildreth Brothers, LLC | Franklin Bank & Trust Company | KYCSP | \$400,000.00 | \$80,000.00 |
| Michael A. Green and Vipavee T. Green (Crank and Boom) | Community Ventures Corporation | KYCSP | \$410,500.00 | \$33,656.00 |
| RuffleGirl Inc. | First Harrison Bank | KYCSP | \$1,450,000.00 | \$290,000.00 |
| CFO Advantage, LLC - Travel Guide - Kentucky | First Financial Bank | KYCSP | \$99,086.76 | \$19,817.35 |
| Marikka's Restaurant, Inc. | Traditional Bank | KYCSP | \$3,641,565.00 | \$461,140.00 |
| Steamer's Seafood Bowling Green LLC | Franklin Bank & Trust Company | KYCSP | \$700,000.00 | \$100,000.00 |
| Trackside Butcher Shoppe, LLC - Request #2 | United Citizens Bank & Trust | KYCSP | \$376,000.00 | \$75,200.00 |
| Optimum Fitness, LLC | United Citizens Bank & Trust | KYCSP | \$50,000.00 | \$10,000.00 |

| Ruby Concrete, Inc. | Farmers Bank of Marion | KYLPP | \$5,675,000.00 | \$80,000.00 |
|--|------------------------------------|-------|----------------|--------------|
| Discernity, LLC | First Financial Bank | KYCSP | \$100,000.00 | \$20,000.00 |
| Gaunce's Café and Deli LLC | Kentucky Bank | KYCSP | \$200,000.00 | \$28,000.00 |
| Parkview Pharmacy, Inc. | Citizens Bank of Kentucky, Inc. | KYCSP | \$260,000.00 | \$52,000.00 |
| Bradley Ebelhar and Angela Ebelhar dba The Crowne | Community Ventures Corporation | KYCSP | \$435,000.00 | \$27,000.00 |
| TVC Holdings LLC | First Harrison Bank | KYCSP | \$610,000.00 | \$120,000.00 |
| Canewood Homeowners Association, Inc. & Canewood HOA GC, LLC | Kentucky Bank | KYCSP | \$1,230,000.00 | \$184,500.00 |
| Atlas Development Group, LLC | First Citizens Bank | KYCSP | \$2,590,000.00 | \$440,000.00 |
| Nicholas D. Ring & Maren B. Ring / Rotolamento Forno LLC | Kentucky Bank | KYCSP | \$95,000.00 | \$10,500.00 |
| Casey's Foods, Inc. dba Happy IGA | Mountain Association | KYCSP | \$176,610.00 | \$34,800.00 |
| HRS Brooks, Inc. | First Citizens Bank | KYCSP | \$4,364,000.00 | \$450,000.00 |
| Malibu Jack's 2, LLC | Community Ventures Corporation | KYCSP | \$1,604,500.00 | \$60,000.00 |
| Taylor Tot Child Center, Inc. | Community Ventures Corporation | KYCSP | \$177,110.00 | \$15,750.00 |
| Dalton Development Company Limited Liability Company (project #2) | Fifth Third Bank | KYCSP | \$375,208.00 | \$75,041.60 |
| Dalton Development Company Limited Liability Company (project #3) | Fifth Third Bank | KYCSP | \$338,500.00 | \$67,700.00 |
| Parker Lilly Holdings, LLC | Fifth Third Bank | KYCSP | \$690,000.00 | \$69,000.00 |
| Wellness Care, LLC | Fifth Third Bank | KYCSP | \$470,000.00 | \$70,500.00 |
| Kentucky River Community Care, Inc. (project #1) | Citizens Bank of Kentucky, Inc. | KYCSP | \$525,000.00 | \$105,000.00 |
| The Law Offices of John Thompson, PLLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$78,662.00 | \$15,732.00 |
| Kentucky River Community Care, Inc. (project #3) | Citizens Bank of Kentucky, Inc. | KYCSP | \$673,000.00 | \$134,600.00 |
| Eddie Eugene Shelton and Charlotte Shelton | Citizens Bank of Kentucky, Inc. | KYCSP | \$149,000.00 | \$29,800.00 |
| Sterling Physical Therapy & Associates, P.S.C. | The Citizens Bank | KYCSP | \$467,000.00 | \$75,000.00 |
| Alley Land Company | Citizens Bank of Kentucky, Inc. | KYCSP | \$768,000.00 | \$88,200.00 |
| B & B Contracting, LLC | Mountain Association | KYCSP | \$100,000.00 | \$20,000.00 |
| Double D's Ice Cream Distribution, Inc. | Cumberland Valley National Bank | KYCSP | \$505,354.00 | \$85,424.00 |
| Burgess Steel Services, Inc. | Citizens Deposit Bank | KYCSP | \$75,173.47 | \$14,000.00 |
| FireFresh Bar-B-Q, Blakenbaker, LLC | First Financial Bank | KYCSP | \$175,000.00 | \$26,250.00 |
| Country Boy Brewing, LLC & CBB Properties, LLC (equipment loan) | Traditional Bank | KYCSP | \$2,997,438.00 | \$346,716.00 |
| Miracle Lawn and Landscaping, Inc. | KHIC | KYCSP | \$150,000.00 | \$25,600.00 |
| Hillview Property Management, LLC | German American Bank | KYCSP | \$488,000.00 | \$96,000.00 |
| America's Finest Filters, Inc. | First Financial Bank | KYCSP | \$170,500.00 | \$34,100.00 |
| Miles Away, Inc. | Community Ventures Corporation | KYCSP | \$290,000.00 | \$10,000.00 |
| Against The Grain, LLC | Wilson & Muir Bank & Trust Co. | KYCSP | \$1,100,000.00 | \$200,000.00 |
| BBY Management Group LLC | Traditional Bank | KYCSP | \$2,017,000.00 | \$188,000.00 |
| Lee's Ford Dock, Inc. | Community Trust Bank | KYCSP | \$974,000.00 | \$194,800.00 |
| | | | | |

| Kenneth A Bell, Inc. | Fifth Third Bank | KYCSP | \$334,988.36 | \$66,997.00 |
|---|--------------------------------------|-------|----------------|--------------|
| Kentucky River Community Care, Inc. (project # 3) | Citizens Bank of Kentucky, Inc. | KYCSP | \$1,000,000.00 | \$200,000.00 |
| Downtown Fitness Paducah, LLC | Community Financial Services Bank | KYCSP | \$468,230.78 | \$88,000.00 |
| Cane Run Properties, LLC | First Financial Bank | KYCSP | \$285,000.00 | \$57,000.00 |
| The B Hive Child Care Academy Limited Liability Company | United Citizens Bank & Trust | KYCSP | \$97,380.00 | \$9,738.00 |
| "Kentucky Rural Health Information Technology Network, Inc. (dba Horizon Health)" | Forcht Bank | KYCSP | \$50,000.00 | \$10,000.00 |
| Whitaker Group, LLC (project #1) | Mountain Association | KYCSP | \$175,000.00 | \$35,000.00 |
| Whitaker Group II, LLC (project #1) | Mountain Association | KYCSP | \$175,000.00 | \$35,000.00 |
| Whitaker Group, LLC (project #1) | Mountain Association | KYCSP | \$655,000.00 | \$105,000.00 |
| Whitaker Group II, LLC (project #2) | Mountain Association | KYCSP | \$262,000.00 | \$52,400.00 |
| BWH Land Company, LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$205,000.00 | \$41,000.00 |
| Tackett Enterprises, LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$232,000.00 | \$46,400.00 |
| Christon Enterprises, Inc. d/b/a Isom IGA | Mountain Association | KYCSP | \$468,000.00 | \$83,600.00 |
| Heritage Millworks, LLC d/b/a Powell Valley Millwork | Mountain Association | KYCSP | \$425,000.00 | \$80,000.00 |
| Heritage Millworks, LLC | Mountain Association | KYCSP | \$4,500,000.00 | \$150,000.00 |
| Hopland Ventures, LLC | South Central Bank | KYCSP | \$99,000.00 | \$15,600.00 |
| Whitaker Group, LLC | KHIC | KYCSP | \$270,000.00 | \$54,000.00 |
| Whitaker Group II, LLC | KHIC | KYCSP | \$5,535,000.00 | \$108,000.00 |
| Cunningham Golf Car Co., Inc. | First Financial Bank | KYCSP | \$700,000.00 | \$140,000.00 |
| Philip Sharp LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$300,000.00 | \$53,000.00 |
| Starlite, LLC | Kentucky Bank | KYCSP | \$1,777,000.00 | \$250,000.00 |
| Narrow Gate Properties, LLC | Fifth Third Bank | KYCSP | \$3,625,000.00 | \$360,000.00 |
| Robert Leon Allen | Monticello Banking Company | KYCSP | \$87,500.00 | \$17,500.00 |
| Great Flood Holdings, LLC | Republic Bank & Trust | KYCSP | \$500,000.00 | \$100,000.00 |
| Library Holdings, LLC | Fifth Third Bank | KYCSP | \$2,530,000.00 | \$249,000.00 |
| Highland Property Group LLC | Fifth Third Bank | KYCSP | \$1,400,000.00 | \$200,000.00 |
| Howard Law Firm, PLC | кніс | KYCSP | \$250,000.00 | \$10,000.00 |
| May and Lee Management, LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$1,100,000.00 | \$220,000.00 |
| High Performance Computer Services LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$338,080.00 | \$62,500.00 |
| East End Foot, LLC | First Harrison Bank | KYCSP | \$615,000.00 | \$108,309.00 |
| DHN Midland, LLC | First Harrison Bank | KYCSP | \$1,167,500.00 | \$225,000.00 |
| Crum Funeral Home LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$750,000.00 | \$150,000.00 |
| Beaver Creek Vet. | Mountain Association | KYLPP | \$410,000.00 | \$68,000.00 |
| Zinky's Dog Care, LLC | Citizens First Bank | KYCSP | \$189,230.00 | \$37,000.00 |
| Bluegrass Tool & Industrial, LLC | German American Bank | KYCSP | \$1,250,000.00 | \$250,000.00 |
| Two Martini's LLC | Community Ventures Corporation | KYCSP | \$540,027.00 | \$37,801.00 |
| CKW Physical Therapy, Inc. | Commercial Bank of West Liberty | KYCSP | \$272,900.92 | \$54,580.00 |

| RHLasher/BGC Properties, LLC (The Big Green Cow, Inc.) | Traditional Bank | KYCSP | \$1,322,840.00 | \$132,284.00 |
|--|---------------------------------|-------|------------------|-----------------|
| Eliezer Transport, Inc. | South Central Bank | KYCSP | \$465,506.12 | \$93,101.00 |
| Logan Corporation | SKED | KYCSP | \$575,000.00 | \$80,000.00 |
| Wente Holdings, LLC | Citizens Bank of Kentucky, Inc. | KYCSP | \$845,953.00 | \$169,190.00 |
| TOTAL PAID OFF/ RECYCLABLE FUNDS | | | \$130,157,142.99 | \$14,790,998.06 |

| Tr | ansfer of Paid Off/R | ecyclable | e Funds | | | |
|---|----------------------------------|-----------|----------------|-----------------|--|--|
| KEDFA Grant Program | 7/27/17 | | | \$1,330,000.00 | | |
| KEDFA Grant Program | 12/8/22 | | | \$2,400,000.00 | | |
| | | | | \$3,730,000.00 | | |
| | Claims F | aid | | | | |
| Land of Tomorrow Productions, LLC | South Central Bank | KYCSP | \$250,000.00 | \$49,673.95 | | |
| K&G Bear Creek Retreat/ Montgomery Wildness/KY Antler | Kentucky Farmers Bank | KYCSP | \$3,353,745.85 | \$388,417.53 | | |
| Steamer Seafood Concessions & Catering, LLC | Franklin Bank & Trust Company | KYCSP | \$148,000.00 | \$12,025.03 | | |
| B&D Cleaning, LLC | Community Ventures Corporation | KYCSP | \$37,500.00 | \$7,500.00 | | |
| | TOTAL CLAIMS PAID | الرخوارة | \$3,789,245.85 | \$457,616.51 | | |
| Approved | | | | | | |
| | | | | | | |
| | TOTAL APPROVED FU | INDS | \$- | \$- | | |
| TOTAL CLOSED, PAID OFF/REC PAID & APPROVED | CYCLABLE, CLAIMS | \$182,45 | 2,949.82 | \$22,571,931.72 | | |

Appendix H: KSFTC Recipients

| Name | County | Final Total Estimated Costs | Final Incentive Amount |
|--|--------|-----------------------------|------------------------|
| Gerald Louis Mattingly | Meade | \$400,000.00 | \$20,000.00 |
| Jackson S. Armour, Madisen Armour, David | McLean | \$800,000.00 | \$25,000.00 |
| Shane Armour and Kelly Jo Armour | | | |
| Koch & Associates, LLC | Shelby | \$2,050,000.00 | \$25,000.00 |
| Robert H. Foree & Jean L. Foree | Shelby | \$588,000.00 | \$25,000.00 |
| Grand Totals | | \$3,250,000.00 | \$70,000.00 |

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